Metropolitan Washington Airports Authority PROCUREMENT AND CONTRACTS DEPT.

SOLICITATION OFFER AND AWARD

							PAGE I -1			
Metropolitan Washi	ington Airports A	uthority			FORMATION CALL					
Procurement and C	Contracts Dept., I	MA-29		NAME: Richard D. Myrah, Richard.myrah@mwaa.com						
2733 Crystal Drive Arlington, VA 2220	2			TELEPHONE NUMBER: (No Collect Calls) 703-572-2992						
2. SOLICITATION NUMBER	BER	3. TYPE OF	SOLICITATION		·	4. DATE ISSUED				
1-17-C095		REQUE	ST FOR PI	ROPOSAL	S (RFP)	Pending Evaluation	n			
				SOLICI	TATION					
5. DESCRIPTION OF SU	JPPLIES, SERVICES	, CONSTRUCTI	ON	001.0.	.,,,,,					
	ngton Nationa	al and Wa			professional services on ational Airports in accord					
Note: This solicitation has a 25% LDBE participation requirement.										
			-		R AND DATES OF AMENDME MAY RESULT IN PROPOSALE		-			
6. BOND REQUIREMEN	ITS									
PAYMENT BOND:	None		PERFOR	MANCE BON	ID: None					
7. PRE-PROPOSAL CO	NFERENCE									
Not Applicable										
8. DEADLINE FOR OFF	FR SUBMISSION									
top of this form by	0:00 P.M. loca	al time, to b	e determin	ned. Sealed	supplies or services in the Sche envelopes containing offers sha Proposals will not be publicly o	all be marked to show the				
			OFFER ((Must be full	y completed by offeror)					
9. NAME AND ADDRES	S OF OFFEROR (Inc	clude Zip Code)		11. REMITTANCE ADDRESS (If different than Item9)					
					12A. E-MAIL ADDRESS					
10A. TELEPHONE NUM	IBER	10B. FAX N	NUMBER		12B. COMPANY INTERNET WEBSITE					
13. ACKNOWLEDGMEN amendments to this	IT OF AMENDMENTS			eipt of	14A. NAME & TITLE OF PERSON AU	THORIZED TO SIGN OFFER				
AMENDMENT NO.					14B. SIGNATURE		14C. DATE			
					145. GIGHATORE		140. DAIL			
DATE										
			ΔWΔF	RD (To be co	ompleted by MWAA)					
15. ACCEPTED AS TO I	ITEMS NUMBERED		, , , , , ,	(10 00 00	20A. NAME OF CONTRACTING OFFI	CER				
Per Task Order					Dichard D. Murah					
16. CONTRACT NUMBER	ER .	17. AMOUN	NT		Richard D. Myrah					
1-17-C095					20B. SIGNATURE OF CONTRACTING OFFICER					
18. DATE OF AWARD		19. CONTR	ACT EFFECTIVE I	DATE						

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- 01 STATEMENT OF WORK, dated July 2017
- 02 MWAA FORM PR-14, ARCHITECT ENGINEER COST BREAKDOWN FOR PRIME AND SUBCONSULTANTS

SECTION III - PRICE SCHEDULE

A Price Schedule is not required to be submitted for a Task Order Architect-Engineer contract. Consultant shall submit proposed labor rates on the Architect-Engineer Cost Breakdown form found at Attachment 02.

SECTION IV - REPRESENTATIONS AND CERTIFICATIONS

01 PARENT COMPANY AND IDENTIFYING DATA

Α.	A "parent" company, for the purpose of this provision, is one that owns or controls the activities and basic business policies of the offeror. To own the offeror's company means that the parent company must own at least 51% of the voting rights in that company. A company may control an offeror as a parent company even though not meeting the requirement for such ownership if the parent company is able to formulate, determine, or veto basic policy decisions of the offeror through the use of dominant minority voting rights, use of proxy voting, or otherwise.
B.	The offeror [] is, [] is not (check applicable box) owned or controlled by a parent company.
C.	If the offeror checked "is" in paragraph B. above, it shall provide the following information:
	Name and Main Office Address of Parent Company's Employer's Identification Number
D.	If the offeror checked "is not" in paragraph B. above, it shall insert its own Employer's Identification Number on the following line:
E.	The offeror (or its parent company) [] is, [] is not (check applicable box) a publicly traded company.
F.	The offeror shall insert the name(s) of its principal(s) on the following line:
02	TYPE OF BUSINESS ORGANIZATION
The of	feror, by checking the applicable box, represents that:
A.	It operates as [] a corporation incorporated under the laws of the State of
B.	If the offeror is a foreign entity, it operates as [] an individual, [] a partnership, [] a nonprofit organization, [] a joint venture, or [] a corporation, registered for business in (country).
03	AUTHORIZED NEGOTIATORS
	feror represents that the following persons are authorized to negotiate on its behalf with the Authority in ction with this solicitation:

04 LOCAL DISADVANTAGED BUSINESS ENTERPRISE REPRESENTATION

- A. <u>Representation</u> The offeror represents and certifies as part of its offer that it [] is, [] is not a local disadvantaged business enterprise.
- B. <u>Definitions</u> "Local Disadvantaged Business Enterprise" (LDBE) is defined as a disadvantaged business concern which is organized for profit and which is located within a 100-mile radius of Washington, DC's zero mile marker. Those business entities located within counties that fall partially within the aforementioned boundary would also be eligible to participate in the Authority's LDBE Program. "Located" means that, as of the date of the contract solicitation, a business entity has an established office or place of business within a city, county, town, or political jurisdiction within the 100-mile radius referenced above. Evidence of whether a business is "located" within the region includes, but is not limited to: an address that is not a Post Office Box; employees at that address; business license; payment of taxes; previous performance of work similar to work to be performed under contract, or related work; and other indicia. A "disadvantaged business" is defined as a firm which is not dominant in its field, and which meets the Authority's disadvantaged business size standard(s) for this solicitation.
- C. <u>Certification</u> Proposed LDBEs must apply to the Authority's Department of Supplier Diversity for certification. For further instruction, see **Section IX on Local Disadvantaged Business Enterprise Participation (LDBE)** in this Solicitation.

05 MINORITY BUSINESS ENTERPRISE REPRESENTATION

- A. Representation. The offeror represents that it [] is, [] is not a Minority Business Enterprise.
- B. <u>Definition</u>. A *Minority Business Enterprise* is:
 - 1. A firm of any size which is at least **51%** owned by one or more minority persons or, in the case of a publicly-owned corporation, at least **51%** of all stock must be owned by one or more minority persons; and whose management and daily business operations are controlled by such persons. A person is considered to be a minority if he or she is a citizen of lawful resident of the United States and is:
 - a. Black (a person having origins in any of the black racial groups in Africa);
 - b. Hispanic (a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
 - c. Portuguese (a person of Portugal, Brazilian, or other Portuguese culture or origin, regardless of race);
 - d. Asian American (a person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands); or
 - e. American Indian and Alaskan Native (a person having origins in any of the original peoples of North America.)
- C. <u>Certification</u>. As verification of this representation, the offeror is encouraged to attach a copy of a current MBE/WBE certification from any agency to be used for the Authority's monitoring of MBE/WBE participation in its program.

06 WOMEN BUSINESS ENTERPRISE REPRESENTATION

A. <u>Representation</u>. The offeror represents that it [] is, [] is not a Women Business Enterprise.

B. <u>Definitions</u>. A **Women Business Enterprise** is:

- 1. A firm of any size which is at least **51%** owned by one or more women or, in the case of a publicly-owned corporation, at least **51%** of stock must be owned by one or more such women; and
- 2. Whose management and daily business operations are controlled by such persons.
- C. <u>Certification</u>. As verification of this representation, the offeror is encouraged to attach a copy of a current MBE/WBE certification from any agency to be used for the Authority's monitoring of MBE/WBE participation in its program.

07 CONTRACTOR IDENTIFICATION

DUNS Identification Number	(this number is assigned by Dun and Bradstreet,
Inc., and is contained in that company's D	ata Universal Numbering System (DUNS). If the number is
not known, it can be obtained from the loc	al Dun & Bradstreet office. If no number has been assigned
by Dun & Bradstreet, insert the word "none	

08 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION

Each offeror is requested to fill in the appropriate information set forth below:

- A. The offeror certifies that --
 - 1. The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (a) those prices, (b) the intention to submit a offer, or (c) the methods or factors used to calculate the prices offered;
 - 2. The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
 - 3. No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- B. Each signature of the offeror is considered to be a certification by the signatory that the signatory:
 - 1. Is the person in the offeror's organization responsible for determining the prices being offered in its offer, and that the signatory has not participated and will not participate in any action contrary to subparagraphs A.1. through A.3. above; or
 - a. Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs A.1. through A.3. above

(Insert full name of person(s)in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);

- b. As an authorized agent, does certify that the principals named in subdivision B.2.a. above have not participated, and will not participate, in any action contrary to subparagraphs A.1. through A.3. above.
- c. As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs A.1. through A.3. above.
- C. If the offeror deletes or modifies subparagraph A.2. above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

09 CERTIFICATION OF COMPLIANCE WITH EMPLOYMENT ELIGIBILITY VERIFICATION, FORM I-9

The offeror certifies that it [] has [] has not read and [] is [] is not in compliance with the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 U.S.C. 1324a) and the regulations issued there under. The offeror also certifies that its subcontractors are in compliance with the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 U.S.C. 1324a) and the regulations issued there under.

10 CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

- A. 1. The Offeror certifies, to the best of its knowledge and belief, that
 - a. The Offeror and/or any of its Principals -
 - (1) Have [] have not [] been debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal, state, or local agency within the three (3) year period preceding this offer;
 - (2) Have [] have not [] had contractor or business license revoked within the three (3) year period preceding this offer;
 - (3) Have [] have not [] been declared non responsible by any public agency within the three (3) year period preceding this offer;
 - (4) Have [] have not [], within the three (3) year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or sub-contract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; violation of labor, employment, health, safety or environmental laws or regulations;
 - (5) Have [] have not [], within the three (3) year period preceding this offer, been indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subparagraph A.1.a.(4). of this provision; and
 - (6) All performance evaluations within the three (3) year period preceding this offer have [] have not [] received a rating of satisfactory or better. If not, please provide a copy of the evaluation with detailed explanation.

- b. The Offeror has [] has not [] within the three (3) year period preceding this offer, had one or more contracts terminated for default by any Federal, state or local agency.
- 2. "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).
- B. The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- C. A certification that any of the items in paragraph A. of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- D. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph A. of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- E. The certification in paragraph A. of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, the Contracting Officer may terminate the contract resulting from this solicitation for default.

SECTION V – SPECIAL PROVISIONS

01 TYPE AND PERIOD OF CONTRACT

The Authority contemplates award of a firm fixed-unit price indefinite quantity contract. The term of this contract shall be a three year base, with one two-year option period, if exercised. Total contract period will not exceed five (5) years from the date of award.

02 CONTRACT AMOUNT AND FUNDING

The ceiling amount for the base contract period shall not exceed \$7,500,000.00. A minimum of one task project will be issued under this contract. The maximum number of task projects issued will be contingent upon the requirements of the Authority and amount of funds available. Individual task orders will be funded separately. The Contractor shall not perform any work which is not funded.

03 TASK ASSIGNMENTS AND PROCEDURES

The Contractor shall not proceed with any work without a fully executed task order issued by the Contracting Officer or the Contracting Officer's verbal approval. Task orders shall be sequentially numbered and shall include, but not be limited to, a statement of the technical requirements of the task and the required completion date(s). Procedures for incorporating each task order into the contract shall be in accordance w ith the following:

A. Normal Circumstances:

- 1. Contracting Officer issues task assignment in the form of a letter, including a statement of work (SOW) and architect-engineer cost breakdown sheet, to the Contractor requesting a price proposal by a specified date.
- 2. Contractor responds with a price proposal completed on the forms identified in A.1, above, stating proposed disciplines, manhours per discipline, and authorized reimbursable expenses, as well as responses to other requirements of the task assignment.
- 3. Contracting Officer/Contracting Officer's Technical Representative (COTR) analyze price proposal.
- 4. Negotiations are conducted, if required.
- 5. A task order is prepared, processed, and formally executed incorporating task assignment into the contract.
- 6. COTR issues Notice to Proceed, as required.

B. Exigent Circumstances:

- 1. The Contracting Officer issues exigent task assignments to the Contractor verbally. The exigent task assignment shall include the basic technical requirements, the required completion date for the assignment, and a not-to-exceed price.
- Within three business days of the above, the Contracting Officer issues a unilateral task order formally incorporating the exigent task assignment into the contract. This will include a detailed description of the technical requirements or a SOW, and a not-to-exceed amount.

- 3. Concurrent with B.2, above, the Contracting Officer will request a price proposal from the Contractor.
- 4. Proceed as in paragraphs A.2 through A.4, above.
- 5. A task order modification is prepared, processed, and formally executed by the Contractor and Contracting Officer, incorporating into the exigent task order the final price, technical requirements and work plan.
- C. Any order issued during the effective period of this contract and not completed within that period shall be completed by the Contractor within the time specified in the order. The contract shall govern the Contractor's and Authorities rights and obligations with respect to that order to the same extent as if the order were completed during the contract's effective period.

04 LABOR RATES

The contract shall contain labor rates on a manhour basis for the various disciplines outlined below. Each rate shall include direct costs, overhead, G & A, and profit. (The number and type of disciplines are subject to final determination during negotiations with the selected Contractor). These rates may be adjusted not more than once a year at the beginning of the Contractor's fiscal year or at the completion of an audit for the period of the contract. Rates will remain fixed for individual task orders and will be based on rates in effect at the time of award.

- Principal or Special Consultant
- Project Architect or Engineer
- Senior Architect or Engineer
- Junior Architect or Engineer
- Technical Level III (draftsperson, surveyor, laboratory technician, inspector, etc.)
- Technician Level II (draftsperson, surveyor, laboratory technician, inspector, etc.)
- Technician Level I (draftsperson, surveyor, laboratory technician, inspector, etc.)
- Secretarial/Clerical

05 INCIDENTAL MATERIAL AND EQUIPMENT RATES

(Including direct costs, indirect costs and profit)

Rates to be determined on an as-required basis for each assigned task order. Each rate shall not exceed the local prevailing rate and shall be approved by the Contracting Officer.

06 REPRODUCTION COSTS

(For drawings, specifications, reports, etc.)

Costs to be determined on an as-required basis for each task order.

07 CONTRACTOR PERFORMANCE EVALUATION

The Airports Authority will conduct periodic written evaluations of the contractor's performance at various intervals throughout the life of this contract. Input for these evaluations will be provided by the Contracting Officer's Technical Representative (COTR), Contracting Officer, and, where appropriate, the end user. The COTR will be responsible for completing the evaluation forms and reviewing their contents with the contractor. The intervals at which these evaluations will be conducted will be established prior to commencement of performance and the contractor advised accordingly.

These evaluations should be looked upon as a partnering tool between the contractor and the Airports Authority. It is hoped that they will help the contractor improve performance and communications when needed, as well as provide an opportunity for the Airports Authority to recognize positive performance. It is the Airports Authority's intent to use these evaluations to help keep communications open between the parties and foster achievement of a quality end product.

08 LIMITATION OF OBLIGATIONS AND LIABILITIES

Any and all obligations of the Airports Authority under this Agreement, and any and all liabilities of the Airports Authority that may arise under this Agreement, shall be limited to the Airports Authority's Aviation Enterprise Fund (which is used to finance the operation, maintenance, improvements, operating expenses and other activities of Ronald Reagan Washington National Airport and Washington Dulles International Airport), and any claim based on any such obligation or liability of the Airports Authority shall be limited to the revenues and assets of the Aviation Enterprise ("Enterprise"). No obligation of the Airports Authority under this Agreement, and no liability of the Airports Authority that may arise under this Agreement, shall constitute an obligation or liability of, or give rise to a claim against, or create any recourse against the Airports Authority's Dulles Corridor Enterprise Fund (which is used to finance the Dulles Toll Road's ongoing capital program and the construction of the Dulles Metrorail Project), or any of the revenues or assets of the Dulles Corridor Enterprise.

09 ORDERING OF SERVICES – TASK CALL ORDERS

Any services to be furnished under this contract may be ordered by a task call order issued by the Contracting Officer or COTR designated in the contract award document or in a subsequent letter. Such an order may be issued anytime during the life of this contract.

Task call orders and the provision of services pursuant to such orders are subject to the terms and conditions of this contract. In the event of a conflict between the task call order and this contract, the contract shall control.

Task call orders may be issued verbally (via phone or in person), electronically (via e-mail), or in writing (via first class mail). If issued in writing, the task call order is considered "issued" when the order is placed in the mail. If issued verbally or electronically, the order is considered "issued" at the time of the verbal communication is made or the electronic communication is received. Verbal orders will be confirmed by a written communication from the Contracting Officer or COTR.

Task call orders will include the following information:

- A. Date of the order:
- B. Contract number:
- C. Task call order number:
- D. Description of the services to be performed;
- E. Date by which performance of the services is to be completed; and
- F. Other information deemed pertinent by the Contracting Officer or COTR.

SECTION VI - CONTRACT PROVISIONS

01 DESIGN WITHIN FUNDING LIMITATIONS

- A. The Contractor shall accomplish the design services required under this contract to permit the award of a contract, using standard Authority procedures for the construction of the facilities designed, at a price that does not exceed the estimated construction contract price as set forth in the individual scope of work for each Task Order. When offers for the construction contract are received that exceed the estimated price, the Contractor shall perform such redesign and other services as are necessary to permit contract award within the funding limitation. These additional services shall be performed at no increase in the price of the task order. However, the Contractor shall not be required to perform such additional services at no cost to the Authority if the unfavorable offers are the result of conditions beyond the Contractor's reasonable control.
- B. The Contractor shall promptly advise the Contracting Officer if it finds that the project being designed will exceed or is likely to exceed the funding limitations and it is unable to design a usable facility within these limitations. Upon receipt of such information, the Contracting Officer will review the Contractor's revised estimate of construction cost. The Authority may, if it determines that the estimated construction contract price set forth in the task order is so low that award of a construction contract not in excess of such estimates is improbable, authorize a change in scope or materials as required to reduce the estimated construction cost to an amount within the estimated construction contract price set forth in the individual scope of work for the task order. When offers are not solicited or are unreasonably delayed, the Authority may prepare an estimate of constructing the design submitted and such estimate shall be used in lieu of offers to determine compliance with the funding limitation.

02 RESPONSIBILITY OF THE ARCHITECT-ENGINEER CONTRACTOR

- A. The Contractor shall be responsible for the professional quality, technical accuracy, and coordination of all designs, drawings, specifications, and other services furnished by the Contractor under this contract. All work done under this contract shall comply with the Authority's Design Manual. The Contractor shall, without additional compensation, correct or revise any errors or deficiencies in its designs, drawings, specifications, and other services.
- B. Neither the Authority's review, approval or acceptance of, nor payment for, the services required under this contract shall be construed to operate as a waiver of any rights under this contract or of any cause of action arising out of the performance of this contract, and the Contractor shall be and remain liable to the Authority in accordance with applicable law for all damages to the Authority caused by the Contractor's negligent performance of any of the services furnished under this contract.
- C. The rights and remedies of the Authority provided for under this contract are in addition to any other rights and remedies provided by law.
- D. If the Contractor is comprised of more than one legal entity, each such entity shall be jointly and severally liable hereunder.
- E. The design work product of the Contractor shall be suitable for review by the Authority's Building Code Department.

03 WORK OVERSIGHT IN ARCHITECT-ENGINEER CONTRACTS

The extent and character of the work to be done by the Contractor shall be subject to the general oversight, supervision, direction, control, and approval of the Contracting Officer.

04 REQUIREMENTS FOR REGISTRATION OF DESIGNERS

The design of architectural, structural, mechanical, electrical, civil, or other engineering features of the work shall be accomplished or reviewed and approved by architects or engineers registered to practice in the Commonwealth of Virginia. All Professional Corporations shall also be licensed in the Commonwealth of Virginia as required by the APELSLA Board Regulations.

05 TERMINATION (FIXED PRICE ARCHITECT-ENGINEER)

- A. The Authority may terminate this contract in whole or, from time to time, in part, for the Authority's convenience or because of the failure of the Contractor to fulfill the contract obligations. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the nature, extent, and effective date of termination. Upon receipt of the notice, the Contractor shall (1) immediately discontinue all services affected (unless the notice directs otherwise), and (2) deliver to the Contracting Officer all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated in performing this contract, whether completed or in process.
- B. If the termination is for the convenience of the Authority, the Contracting Officer shall make an equitable adjustment in the contract price but shall allow no anticipated profit on unperformed services.
- C. If the termination is for failure of the Contractor to fulfill the contract obligations, the Authority may complete the work by contract or otherwise and the Contractor shall be liable for any additional cost incurred by the Authority.
- D. If, after termination for failure to fulfill contract obligations, it is determined that the Contractor had not failed, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Authority.
- E. The rights and remedies of the Authority provided in this provision are in addition to any other rights and remedies provided by law or under this contract.

06 INSURANCE

- A. The Contractor shall procure and maintain at its expense during the contract period the following insurance coverage from an insurance company or companies possessing a rating of A- VII or higher from the A.M. Best Company or an equivalent rating service.
- B. Contractor shall advise the Airports Authority of any cancellation, non-renewal, or material change in any policy within five business days of notification of such action.
- C. All of the policies required of the Contractor shall be primary and the Contractor agrees that any insurance, including self-insurance, whether primary, excess, or on any other basis, maintained by the Airports Authority shall be non-contributing with respect to the Contractor's insurance.
- D. The Contractor may use commercial umbrella/excess liability insurance so that Contractor has the flexibility to select the best combination of primary and excess limits to meet the total insurance limits required by this Contract. Any umbrella or excess liability coverage must be at least as broad as the primary coverage and contain all coverage provisions that are required of the primary coverage.
- E. The Contractor and any Subcontractors are prohibited from operating Airports Authority owned vehicles and mobile equipment.

F. A portion of the work requires Contractor to operate a vehicle and/or mobile equipment on the restricted areas of the airport such as Air Operations Area (AOA). Unescorted access is permitted.

G. Insurance Coverage and Minimum Limits

1. Commercial General Liability

- a. Shall be a limit of not less than Five Million Dollars (\$5,000,000) per occurrence.
- b. Coverage shall include, but not be limited to, Bodily Injury and Property Damage to Third Parties, Contractual Liability, Products-Completed Operations, Personal Injury and Advertising Injury Liability, Premises-Operations, Independent Contractors and Subcontractors, Mobile Equipment, and Damage to Rented Premises.
- c. The Products-Completed Operations coverage shall be provided for a minimum of two years following final acceptance of the work.
- d. <u>Additional Insured:</u> The Metropolitan Washington Airports Authority shall be included as an Additional Insured.
- e. <u>Waiver of Subrogation:</u> Coverage shall include a waiver of subrogation provision to waive all rights of recovery under subrogation or otherwise against the Airports Authority.

2. Commercial Automobile Liability

- a. In the event Contractor does not own automobiles, Contractor shall maintain coverage with the per occurrence limit identified below for Hired and Non-Owned Autos, which may be satisfied by way of endorsement to the Commercial General Liability policy described above or separate Business Auto Liability policy. Evidence of either must be provided.
- b. Shall be a limit of not less than Five Million Dollars (\$5,000,000) per occurrence for any vehicle (owned, non-owned, or hired/leased) used by the Contractor to fulfill the services contemplated by this Contract.
- c. Coverage shall include handling of property for loading and unloading.
- d. <u>Additional Insured:</u> The Metropolitan Washington Airports Authority shall be included as an Additional Insured.
- e. <u>Waiver of Subrogation:</u> Coverage shall include a waiver of subrogation provision to waive all rights of recovery under subrogation or otherwise against the Airports Authority.

3. Workers Compensation and Employers Liability

- a. Contractor shall satisfy all compulsory requirements relating to workers compensation in any jurisdiction in which benefits may be claimed.
- b. If the Contractor is required by Virginia law to carry Workers Compensation coverage, the coverage shall be at Virginia Statutory Limits with Virginia coverage added to item 3A of the policy; <u>a Virginia listing under item 3C of the policy is not sufficient</u>.
- c. If a sole proprietor with no employees, the Contractor is not required by Virginia law to carry Workers Compensation coverage and the Contractor shall indicate by initialing on the line below that the Contractor is not required by Virginia law to carry Workers Compensation coverage and agrees to waive all rights of recovery under subrogation or otherwise against the Airports Authority.

	Contractor	acknowledge	es tr	nat it	İS	not	requi	red	by '	Virgir	nia	law	to	carry
Workers	Compensation	n coverage	and	agre	es	to	waive	all	right	s of	re	cove	ry	under
subrogation or otherwise against the Airports Authority.														

- d. Employers Liability shall be a limit of not be less than One Million Dollars (\$1,000,000) for bodily injury by accident and One Million Dollars (\$1,000,000) each employee for bodily injury by disease.
- e. <u>Waiver of Subrogation:</u> Coverage shall include a waiver of subrogation provision to waive all rights of recovery under subrogation or otherwise against the Airports Authority.

4. Professional Liability (Design Professionals Errors & Omissions)

- a. This requirement can be satisfied by either a separate policy or through a modification to the Commercial General Liability policy. Evidence of either must be provided.
- b. Subject to policy terms, conditions, and limitations there shall be a limit of not less than Two Million Dollars (\$2,000,000) per claim for all employees covering negligent acts, errors, mistakes, and omissions arising out of the work or services performed by Contractor, or any person employed or contracted by Contractor.
- c. The coverage in all cases shall include Unintentional Errors/Omissions Endorsement.
- d. Continuous coverage shall be maintained or an extended reporting period will be exercised for a period of not less than one year from termination or expiration of this Contract. The retroactive date shall precede the effective date of this Contract.
- e. <u>Waiver of Subrogation:</u> Coverage shall include a waiver of subrogation provision to waive all rights of recovery under subrogation or otherwise against the Airports Authority.
- f. A copy of the policy shall be provided to the Airports Authority upon its request.

5. "All Risk" Property (Contractor's Property)

Replacement cost coverage under an "All Risk" policy for any of the Contractor's real or personal property used or situated on Airports Authority's property.

If Contractor chooses to provide self-insurance for any of the Contractor's real or personal property used or situated on Airports Authority's property, the Contractor shall indicate by initialing on the line below that the self-insurance option has been chosen.

Contractor e	lects to sel	lf-insurance	"All-Risk"	Property.

- H. By requiring insurance herein, the Airports Authority does not represent that coverage and limits will necessarily be adequate to protect Contractor, and such coverage and limits shall not be deemed as a limitation on Contractor's liability under the indemnities granted to the Airports Authority in this Contract.
- I. The Airports Authority reserves the right at any time throughout the term of the Contract to adjust the aforementioned insurance requirements, if, in Airports Authority's reasonable judgment, the insurance required by the Contract is deemed inadequate to properly protect the Airports Authority's interest.
- J. The failure of the Airports Authority at any time to enforce the insurance provisions, to demand such certificate or other evidence of full compliance with the insurance requirements, or to identify a deficiency from evidence that is provided shall not constitute a waiver of those provisions nor in any respect reduce the obligations of the Contractor to maintain such insurance or to defend and hold the Airports Authority harmless with respect to any items of injury or damage covered by this Contract.
- K. The Contractor is responsible to ensure that all Subcontractors independently carry insurance appropriate to cover the Subcontractors' exposures, or are covered under the Contractor's policies.
- L. The Contractor shall provide the Contracting Officer with a valid Certificate of Insurance, in advance of the performance of any work and as soon as possible after renewal, exhibiting coverage as required by the Metropolitan Washington Airports Authority's contract terms and conditions.
 - 1. The Certificate of Insurance shall be provided on the most current industry standard form by

ACORD (Association for Cooperative Operations Research and Development) or other form acceptable to the Airports Authority.

- a. For Liability Insurance, the ACORD 25 (2016/03) is the most current industry standard form. ACORD 25 forms older than 2016/03 are not acceptable.
- b. For Property Insurance, the ACORD 28 (2016/03) is the most current industry standard form. ACORD 28 forms older than 2016/03 are not acceptable.
- c. Other evidence of insurance forms which may be acceptable include, but are not limited to, certificate forms created by the insurance company, Memorandum of Insurance, Certificate of Commercial Liability Insurance by ISO, and Manuscript Certificate of Insurance for certain offshore policy placements. Forms of these types will be considered on a case-by-case basis.
- 2. The Certificate of Insurance shall include the Contract Number.
- 3. If an Umbrella policy is used to meet the total insurance limits required by this Contract and covers more than General Liability and Automobile Liability, a statement must be provided on the Certificate of Insurance to indicate which policies are covered by the Umbrella policy.
- 4. If an Excess policy is used to meet the total insurance limits required by this Contract, a statement must be provided on the Certificate of Insurance to indicate which policy it follows.
- 5. The Certificate of Insurance shall be issued to:

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY

Procurement and Contracts Department ATTN: Contract Number 1-17-C094
1 Aviation Circle
Washington DC 20001-6000

07 SUSPENSION OF WORK

- A. The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Authority.
- B. If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this provision for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract.
- C. A claim under this provision shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

08 SUBCONTRACTORS AND OUTSIDE ASSOCIATES AND CONSULTANTS

Any subcontractor and outside associates or consultants required by the Contractor in connection with the services covered by the contract will be limited to individuals or firms that were specifically identified and agreed to during negotiations. The Contractor shall obtain the Contracting Officer's written consent before making any substitution or addition to these subcontractors, associates, or consultants.

09 TAXES

The Contractor is responsible for all applicable Federal, state, and local taxes of all kinds on materials, labor, or services furnished by it or arising out of its operations under the contract. Such taxes shall include, without limitation, sales, use, excise, employee benefit and unemployment taxes, customs duties, and income taxes.

10 PAYMENTS UNDER FIXED PRICE ARCHITECT-ENGINEER CONTRACTS

- A. Estimates shall be made monthly of the amount and value of the work and services performed by the Contractor under this contract. The estimates shall be prepared by the Contractor and accompanied by any supporting data required by the Contracting Officer.
- B. The Contractor promises that it will pay its subcontractors within 10 days following receipt of payment from the Authority. The prime contractor also agrees to return any retainage withheld from subcontractors within 10 days after the subcontractor has satisfactorily completed its work. Any delay or postponement of payment may not take place without prior approval of the Authority. A finding of non-payment is a material breach of this contract. The Authority may, at its option, increase allowable retainage or withhold progress payments unless and until the Contractor demonstrates timely payment of sums due subcontractors. Provided, however, that the presence of a "pay when paid" provision in a subcontract shall not preclude Authority inquiry into allegations of nonpayment. Provided, further, that the remedies above shall not be employed when the Contractor demonstrates that failure to pay results from a bona fide dispute with its subcontractor or supplier. The Contractor shall incorporate this provision into all subcontracts in excess of \$5,000 that result from this contract.
- C. The Authority shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates provided by the Contractor and approved by the Contracting Officer. The Contractor shall furnish to the Authority the Invoice Attachment Form (See Exhibit J) which will be included in the periodic invoice submission. This Form shall provide information on all subcontractors, each subcontractor's scope of services, and the subcontract dollar amount for those services. When reviewing the Contractor's invoicing for the reporting period, the Authority will use the Invoice Attachment Form as verification of subcontracting activities and payments. If requested by the Contracting Officer, the Contractor shall furnish a breakdown of the total contract price showing the amount included therein for each principal category of the work, in such detail as requested, to provide a basis for determining progress payments. In reviewing estimates, the Contracting Officer may authorize material delivered to or near the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site may also be taken into consideration if such consideration is specifically authorized by this contract. Failure to include required Exhibit J Attachment may delay payment of your invoice.
- D. Payment upon properly executed vouchers shall be made to the Contractor within 30 days after receipt by the Authority's Accounting Department, less all previous payments.

The Authority strongly recommends that contractors participate in a program whereby payments under this contract are made via electronic funds transfer into the contractor's bank. Contractor requests to initiate such service shall include the bank name, address, account number, contact person, telephone

number, and American Bankers Association (ABA) 9-digit identifying number. The initial request and any subsequent changes must be signed by the contractor's signatory of the contract and shall be submitted directly to the Authority's Finance Office (MA-22B).

- E. Upon satisfactory completion by the Contractor and acceptance by the Contracting Officer of the work done by the Contractor under the "Statement of Architect-Engineer Services", the Contractor will be paid the unpaid balance of any money due for work under the statement. Upon satisfactory completion and final acceptance of the construction work, the Contractor shall be paid any unpaid balance of money due under this contract.
- F. Before final payment under the contract, or before settlement upon termination of the contract, and as a condition precedent thereto, the Contractor shall execute and deliver to the Contracting Officer a release of all claims against the Authority arising under or by virtue of this contract, other than any claims that are specifically excepted by the Contractor from the operation of the release in amounts stated in the release.

G. Contractor Submission Of W-9 Required Prior to Contract Award

As a prerequisite for contract award, the contractor shall complete all parts of the Internal Revenue Service ("IRS") Form W-9 (Request for Taxpayer Identification Number and Certification). Contract award will not be made until the completed W-9 has been received by the Authority. The W-9 form and instructions are available to contractors by accessing the IRS website at www.irs.gov and inserting the form number "W-9".

The W-9 information is requested so that we may determine the need to file IRS Form 1099 in connection with payments made by the Authority to the contractor. To assure accurate maintenance of your firm's status, the submission of the W-9 is required for each contract or purchase order executed by and between the Authority and its contractors. If the term of the contract exceeds one year, the Authority may request periodic resubmission of the W-9. If the contractor fails to submit the form by the deadline stated in the resubmission request, the Authority may refuse to pay invoices until the form has been submitted.

11 DISPUTES

A. General

This contract provision sets forth the Authority's disputes procedures for disputes under remedygranting contract provisions and non-material breaches of contract. It applies to all disputes except disputes based upon a material breach of contract.

It is the Authority's policy to encourage resolution of disputes by mutual agreement between the Contracting Officer and the Contractor. Consistent with this intent, the Authority requires, as a condition precedent to the initiation of litigation, the exhaustion of the administrative disputes procedure described in this contract provision. If the dispute is not resolved by the administrative disputes procedure, the contractor may proceed to court litigation in accordance with the agreements contained in this contract.

B. Waiver of Jury Trial

To the fullest extent permitted by law, the Contractor and the Authority hereby waive their respective rights to a trial by jury on any dispute or claim or cause of action upon, arising under, arising out of or related to, the contract. In addition, the Contractor and the Authority hereby waive their respective rights

to trial by jury in any other proceeding or litigation of any type brought by any of the contracting parties against the other party whether with respect to contract claims or actions, tort claims, or otherwise. Without limiting the foregoing, the Authority and the Contractor further agree that their respective rights to a trial by jury are waived as to any action, counterclaim, or other proceeding that seeks, in whole or in part, to challenge the validity or enforceability of the contract. This waiver of jury trial shall also apply to any subsequent amendments, modifications, renewals or supplements to the contract.

C. Performance Pending Dispute

The contractor shall proceed diligently with performance of the contract's requirements, including the disputed portions, pending resolution of any dispute.

D. Steps of Administrative Disputes Procedure

1. Claim Submission

The Contractor shall submit a written claim signed and certified as true and accurate and that it is made in good faith based upon supporting facts and cost and pricing data that are current, accurate and complete as of date of submission and date of any agreement; the claim and certifications shall be made by a duly authorized officer of the Contractor. The claim at a minimum shall include a) the basis of liability; b) basis of request for additional compensation, time extension request or other relief requested; c) a narrative that fully explains the basis for liability; d) the claim must state that it is made in good faith, that the supporting facts and cost and pricing data are current, accurate and complete as of the date of certification, and the amount of additional compensation, time of performance, or other relief requested reasonably and accurately reflect the added cost, added time of performance, and other damage the Contractor reasonably believes it has incurred; and e) the claim must include or specifically reference all actual cost accounting records, actual schedule data, as-built data, or other data or facts that relate to any aspect of the Contractor's claim.

2. Prohibited Claim Formats

Monetary claims based on anticipatory profits are prohibited. Monetary claims requests based on a total cost approach are prohibited. Time extension requests or claims on a total time approach are prohibited.

E. Claims Review and Disposition

Contracting Officer Discussions

Discussions between the Contracting Officer and the Contractor concerning the claim presented shall occur within a reasonable period of time after submission of the certified claim and receipt by the Contracting Officer of sufficient information, including, but not limited to, information resulting from an audit, if deemed necessary. Discussions shall be conducted in good faith for the resolution of the dispute, including the exchange of relevant information. If requested by the Contracting Officer, the COTR shall provide the Contracting Officer with a written response to the claim that references the applicable provisions of the statement of work, contract requirements, and applicable contract provisions and may include a specific request that the COTR obtain additional information or audit access, or both. The Contractor shall provide such additional information or audit access and failure to promptly provide such information or access shall be a bar to the claim.

2. Alternative Dispute Resolution (ADR)

Non-binding evaluative mediation is established as the ADR for this contract. The parties agree that the following procedures shall apply:

- a. Selection of the neutral mediator shall be as made by the parties; a neutral means an individual who is trained or experienced in conducting dispute resolution proceedings and in providing dispute resolution services related to significant construction contracts.
- b. All statements made as a part of the proceeding and all memoranda, work products or other materials made during the course of the mediation are deemed confidential and are to be treated in accordance with Virginia Code Section 8.01-576.10; in addition, the statements and any written materials are considered privileged settlement discussions, are not party admissions, and are made without prejudice to any party's legal position, if mediation does not result in an agreement.
- c. Materials prepared for the mediation are not subject to disclosure in any other judicial or administrative proceeding.
- d. Informal discovery is permissible in the form of production or inspection of certain categories of documents.
- e. The parties agree to split evenly the costs of the mediator and any incidental costs associated with holding the mediation.

3. Impasse and Litigation

If the ADR procedure does not result in an agreement, an impasse can be declared.

4. Contracting Officer's Final Decision

Upon the declaration of an impasse, the Contractor shall request a written final decision by the Contracting Officer. The Contracting Officer shall issue a final decision within sixty (60) calendar days from receipt of the request and adequate documentation unless the dispute is determined to be complex in nature. The final decision of the Contracting Officer shall be final and conclusive unless within thirty (30) calendar days from receipt of the Contracting Officer's final decision, the Contractor mails or otherwise furnishes a written notice of appeal to the Manager, Procurement and Contracts Department.

5. Litigation

Following the completion of the administrative disputes resolution process without an agreement as indicated by the timely receipt of a notice of appeal, the dispute may be resolved by litigation without a jury before a court of competent jurisdiction within the Commonwealth of Virginia.

F. Remedies for inappropriate claims

The following remedies are provided for the Authority's use in the event the Contractor submits reckless or frivolous claims or false, misleading, or material misrepresentations relating to claims.

Remedies for Reckless or Frivolous Claims

In the event that the Contractor makes a claim against the Authority and the Contractor's claim, as certified by an officer of the contractor, is a) found by a court to be based on any reckless statement contained in the certification of the claim or b) is found by a court to be of frivolous nature or materially overstated in amount, then the Contractor shall be liable to the Authority and shall pay to it a percentage of costs incurred by the Authority in investigating, analyzing, negotiating, mediating and litigating (including attorneys' fees) the frivolous or overstated claim. The percentage of costs referenced shall be equal to the percentage of the contractor's total claim which is determined through litigation to be the result of a reckless statement or frivolous claim. "Frivolous" shall mean having no basis in law or in fact. This remedy is a contractual remedy and does not otherwise affect the other rights of the Authority in law or in equity.

2. Remedies for False or Misleading Statements or Material Misrepresentation

Any claim by the Contractor that is based on false or reckless statements that mislead the Authority or material misrepresentations shall entitle the Airports Authority to a full recovery of all costs incurred by the Authority in investigating, analyzing, negotiating, mediating and litigating (including attorneys' fees) the claim. This remedy is a contractual remedy and does not otherwise affect the other rights of the Authority in law or in equity.

12 PROTECTION OF AUTHORITY BUILDINGS, EQUIPMENT AND VEGETATION

The Contractor shall use reasonable care to avoid damaging existing buildings, equipment, and vegetation on the Authority installation. If the Contractor's failure to use reasonable care causes damage to any of this property, the Contractor shall replace or repair the damage at no expense to the Authority as the Contracting Officer directs. If the Contractor fails or refuses to make such repair or replacement, the Contractor shall be liable for the cost, which may be deducted from the contract price.

13 CHANGES - FIXED PRICE

- A. The Contracting Officer may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed, including changes:
 - 1. In the statement of work;
 - 2. In the method or manner of performance of the work;
 - 3. In the Authority furnished facilities, equipment, materials, services, or site; or
 - 4. Directing acceleration in the performance of the work.
- B. If any such change causes an increase or decrease in the cost of, or the time required for, performance of any part of the work under this contract, whether or not changed by the order, the Contracting Officer shall make an equitable adjustment in the contract price, the delivery schedule, or both, and shall modify the contract.
- C. The Contractor must submit any "offer for adjustment" (hereafter referred to as proposal) under this provision within 30 days from the date of receipt of the written order. However, if the Contracting Officer decides that the facts justify it, the Contracting Officer may receive and act upon a proposal submitted before final payment of the contract.

- D. If the Contractor's proposal includes the cost of property made obsolete or excess by the change, the Contracting Officer shall have the right to prescribe the manner of the disposition of the property.
- E. Failure to agree to any adjustment shall be a dispute under the Disputes provision. However, nothing in this provision shall excuse the Contractor from proceeding with the contract as changed.
- F. No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written authorization of the Contracting Officer.

14 AUTHORITY RIGHTS

The Authority shall have unlimited rights, for the benefit of the Authority, in all drawings, designs, specifications, notes, and other work developed in the performance of this contract, including the right to use same on any other Authority work without additional cost to the Authority, and with respect thereto the Architect-Engineer agrees to and does hereby grant to the Authority a royalty-free license to all such data which he may cover by copyright and to all designs as to which he may assert any rights or establish any claim under the design patent or copyright laws. The Architect-Engineer shall provide the originals of all documents it produces to the Authority upon completion of each design task.

15 CERTIFICATION OF DRAWINGS AND OTHER DOCUMENTS

The Architect-Engineer or his duly authorized representative shall sign the original tracings of all drawings and the first page of all specifications, estimates, or similar documents under the Architect-Engineer's printed name and over the affixed replica of his professional seal or his registration certificate number, including the State or jurisdiction of issuance.

16 PREPARATION OF SPECIFICATIONS AND COORDINATION WITH CONTRACT DOCUMENTS

The Contractor's construction documents shall be coordinated with the Authority's procurement requirements for a) construction contracting, b) construction contracts and c) change orders (modifications). The Contractor's documents shall not contain statements or requirements which conflict with, or unnecessarily duplicate the provisions of the Authority's procurement documents.

The Contractor shall make reference to applicable Federal specifications, if available, for items and materials used. If Federal specifications are not available, the Contractor shall reference specifications prepared by recognized professional manufacturers or construction societies, or furnish descriptions of the items or materials containing all the salient characteristics of function, performance, design requirements and quality of the item described. When an item or material cannot be described because of its technical construction or composition or because it is a proprietary item, the manufacturer and model number of one, and if available, several suitable commercial products shall be included as part of the required description, followed by the words "or approved equal".

If it is determined that one and only one brand of item or material will meet the requirement, the Contractor shall so state and shall give full justification for that determination. Specifications will not duplicate, contradict or cover the same subject matter contained in the general and special provisions to be used with the construction contract. A complete set of construction contract general and special provisions will be furnished to the Contractor by the Contracting Officer.

17 CONSTRUCTION CONTRACTS WITH ARCHITECTURAL AND ENGINEERING FIRMS

No contract for construction of any project or item for which architectural services are provided under this contract shall be awarded to the Contractor, any of its subcontractors, or any of its subsidiaries or affiliates, except with the approval of the President and Chief Executive Officer of the Metropolitan Washington Airports Authority.

18 DEPARTMENT OF LABOR OCCUPATIONAL SAFETY AND HEALTH STANDARDS

The Architect-Engineer shall apprise himself of and comply with the Department of Labor Occupational Safety and Health Regulations, Title 29, Chapter XVII, Part 1926.

19 NOTICE OF DELAYS

Whenever the Architect-Engineer encounters any difficulty which is delaying or threatens to delay the timely performance of this contract (including actual or potential labor disputes), the Architect-Engineer shall immediately give notice thereof in writing to the Contracting Officer, stating all relevant information with respect thereto. Such notice shall not in any way constitute a basis for an extension of the delivery schedule or be construed as a waiver by the Authority of any rights or remedies to which it is entitled by law or pursuant to provisions of this contract. Failure to give such notice, however, may be grounds for denial of any request for an extension of the delivery schedule because of such delay.

The Architect-Engineer agrees to insert the substance of this provision including this paragraph, in any subcontract hereunder; except that each such subcontract shall provide that in the event its timely performance is delayed or threatened by delay, the subcontractor shall immediately notify his next higher tier subcontractor, or the prime Contractor, as the case may be, of all relevant information with respect thereto.

20 INTERPRETATION OR MODIFICATION

Except as otherwise provided in this contract, no oral or written statement of anyone other than the Contracting Officer shall modify or otherwise affect the terms or meaning of the contract or specifications. All requests for interpretation or modifications shall be made in writing to the Contracting Officer.

21 DISSEMINATION OF CONTRACT INFORMATION

The Architect-Engineer shall not discuss, publish, permit to be published, or distribute for public consumption, and information, oral or written, any material concerning this contract, without the prior written consent of the Contracting Officer. (Two copies of any material proposed to be published or distributed shall be submitted to the Contracting Officer.)

When required, the Contractor shall prepare amendments or revisions to the drawings, specifications, and Authority cost estimates during the construction of the individual projects.

22 INDEMNIFICATION

A. To the fullest extent permitted by law, the Contractor shall hold harmless and indemnify the Authority, the Authority's employees, and the Authority's agents, contractors, subcontractors, and consultants, and agents and employees of any of them, from and against all claims, suits, damages, losses, expenses, and attorney's fees, arising out of or resulting from performance of the work, provided that such claim, suit, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury or damage to or destruction of tangible property (other than the work itself) including loss of use resulting therefrom, but only to the extent caused by negligent acts or omissions of the Contractor,

or any of its subcontractors, their agents or anyone directly or indirectly employed by them, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder.

B. In claims against any person or entity indemnified under this provision by an employee of the Contractor, a subcontractor, an employee of a subcontractor, or an agent of the Contractor or a subcontractor, the indemnification obligation under this provision shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a subcontractor under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts.

23 ORDER OF PRECEDENCE

Any inconsistency in this solicitation or contract shall be resolved by giving precedence in the following order: (a) Price Schedule; (b) Solicitation Provisions; (c) Special Provisions; (d) Contract Provisions; (e) other documents, exhibits, and attachments; (f) the specifications; and (g) the drawings.

24 KEY PERSONNEL

The key personnel specified in the Contractor's proposal, incorporated as part of this contract, are considered to be essential to the work being performed hereunder. Prior to diverting any of the specified individuals to other programs, the Contractor shall notify the Contracting Officer reasonably in advance and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the program. No diversion shall be made by the Contractor without the written consent of the Contracting Officer: Provided, that the Contracting Officer may ratify in writing such diversion and such ratification shall constitute the consent of the Contracting Officer required by this provision. The listing of key personnel may be amended from time to time during the course of the contract to either add or delete personnel, as appropriate, subject to prior approval of the Contracting Officer.

Similarly, key personnel specified in subcontractors' proposals are considered to be essential to the work being performed. Therefore, the Contractor shall ensure a provision similar to the foregoing is inserted in each subcontract, requiring Contracting Officer approval for any substitution of subcontractor key personnel.

25 CORRESPONDENCE PROCEDURES

Correspondence relating to the scope of work should be addressed to the Contracting Officer's Technical Representative; contract administration issues should be addressed to the Contracting Officer.

26 BILLING INSTRUCTIONS

The Contractor shall submit, no more than once each month, an original of both its invoices and the Authority's Invoice Attachment Form (Exhibit J), listing <u>all subcontractors</u> and their activities, either electronically via e-mail to <u>invoices@mwaa.com</u> or in hard copy to the following address:

Metropolitan Washington Airports Authority
Accounting Department, MA-22B
1 Aviation Circle
Washington, DC 20001-6000

Failure to include required Exhibit J Attachment may delay payment of your invoice.

Invoices shall be properly identified with the Contractor's name, address and applicable contract/purchase order number. Invoices without proper identification will be returned to the sender. Invoices in excess of one (1) per month will be returned to the contractor.

The Contractor is encouraged to utilize banks owned and controlled by Disadvantaged Business Enterprises. To obtain a list of Disadvantaged Business Enterprise banks, contact the Department of Supplier Diversity at 703-417-8625.

27 TRAVEL EXPENSES

- A. Lodging/Meals/Incidental Expenses.
 - 1. Each consultant required to travel overnight in performance of this contract shall be reimbursed for lodging, meals and incidental expenses at the rates specified by GSA (http://www.gsa.gov search "Per Diem") for the locations being visited. Receipts are not required.
 - 2. The amount for meals and incidental expenses includes state sales tax (where applicable) and a 15% gratuity. On the day of departure, 75% of the applicable rate will apply. On the last day of travel, 75% of the applicable rate will apply. Receipts are not required.

B. Air Travel:

The Authority shall reimburse for air travel at the coach rate. The Contractor is expected to obtain discount airfares to the extent possible. Travel shall be by the route that is most cost effective to the Authority. The Contractor shall bear any additional costs incurred as a result of deviations from this route for personal reasons. Travel time shall not be compensated. Legible receipts are required.

C. Local Transportation:

- 1. Taxi/limousine/airport bus Reasonable expenses reimbursable at <u>actual cost</u>. Receipts are required. Transportation expenses between places of lodging or business and places where meals are taken are not allowed unless suitable meals cannot be obtained at the site.
- 2. Rental automobiles Reasonable expenses reimbursable at <u>actual cost</u>. Rental automobiles shall be used only when it will effect a savings or other advantage or when the use of other transportation is not feasible. Rental automobiles should be limited to sub-compact models when available. A legible copy of the rental agreement is required to support costs. Rental of other than a sub-compact is allowable when sub-compacts are not available. Receipts are required.
- 3. Private automobile Use of private automobiles will be reimbursed at the current IRS business mileage rate.
- 4. Tolls and parking charged for the use of ferries, roads, bridges, and tunnels while traveling to and from commercial carriers and parking charges at destination are reimbursable at <u>actual</u> cost. Receipts are required.
- D. Telephone: <u>Actual cost</u> of business telephone charges incurred by Contractor while in travel status is reimbursable. Personal telephone charges are not allowable. Receipts are required.

E. Other

- 1. Other actual expenses incurred in the performance of this contract, exclusive of normal operating expenses, and as approved by the Authority, shall be reimbursed. Receipts or invoices are required on each individual item under this category.
- Non-reimbursable costs include expense for entertainment, first-class airfare, contributions, personal telephone and facsimile charges, dues and subscriptions, alcoholic beverages, expenses for transportation and lodging for personal pursuits, gifts, gratuities, and other charges expressly disallowed under the terms of the agreement.

28 PUBLICITY RELEASES

Publicity releases in connection with this contract will not be made by the Contractor unless prior written approval is obtained from the Manager, Procurement and Contracts Department.

29 AUDIT AND INSPECTION OF RECORDS

- A. The Authority reserves the right to audit the Contractor's rates, and may do so through the use of a third party auditor.
- B. The Contractor shall maintain records and the Contracting Officer shall, until the expiration of five years after final payment under this Contract have access to and the right to examine any pertinent books, documents, papers and records of the Contractor involving the formation of the contract, transactions related to the Contract, for the purpose of inspection, making audit, examination, excerpts and transcriptions. The Contractor further agrees to include in all its subcontracts hereunder a provision to the effect that the Contracting Officer shall until the expiration of five years after final payment under the Contract have similar access to and the right to examine any pertinent books, documents, papers and records of the subcontractor(s) involving all aspects of the subcontract including formation.
- C. The Contracting Officer shall have all of the aforementioned rights for all types of contracts including fixed price contracts. The rights include without limitation the right to examine costs. The Authority's rights hereunder are in addition to any other audit and inspection rights under the Contract. The Authority reserves these rights because cost information is frequently needed to investigate performance issues and whether it is in the Authority's interest to exercise other reserved rights under the contract. The Contracting Officer shall have the broad rights of audit and inspection including but not limited to, the right to examine books, records, documents and other evidence and accounting procedures and practices, sufficient to reflect properly all direct and indirect costs of whatever nature that have been incurred for the performance of this Contract. Such right of examination shall include inspection at all reasonable times of the Contractor's labor, materials, plant or such parts thereof, or other costs or revenues as may be expended or received as a part of the performance of the Contract.
- D. When costs are a factor in any request for an equitable price adjustment pursuant to a remedy granting provision of the Contract, the Contractor shall maintain separate accounts by specific designation or other suitable accounting procedure of all incurred segregable, direct costs, less allocable credits. Failure to maintain such cost records is a bar to any claim, legal or equitable, for such costs.

30 CONFLICT OF INTEREST

The Contractor will be precluded from providing other services to the Authority (i.e., planning, design, or construction) which conflict with its services under this contract.

The Contractor shall notify the Contracting Officer in writing should it, any of its joint venture partners, any subsidiaries of a joint venture partner, any companies sharing common ownership with a joint venture partner,

or any of its subcontractors intend to compete for work with the Authority or any of the Authority's other contractors. The Contracting Officer may preclude those entities from receiving an award for any such work reasonably deemed to pose a conflict of interest with Work provided hereunder.

31 OPTION TO EXTEND THE TERM OF THE CONTRACT

The Authority may extend the term of this contract by written notice to the Contractor within 30 days of contract expiration. The Authority will give the Contractor a preliminary notice of its intent to extend at least 60 days prior to contract expiration. This preliminary notice shall not commit the Authority to an extension. If the Authority exercises an option, the extended contract shall be considered to include this option provision. The extended contract shall be at the rates specified in the Price Schedule. The total duration of this contract, including the exercise of any options under this provision, shall not exceed five (5) years.

32 OPTION TO EXTEND SERVICES

The Authority may require continued performance of any services within the limits and at the rates specified in the Price Schedule. This option provision may be exercised more than once, but the total extension hereunder shall not exceed six (6) months. The Contracting Officer may exercise the option by written notice to the Contractor within thirty (30) days of contract expiration.

33 CONSENT TO ASSIGNMENT

The Contractor shall obtain the written consent of the Contracting Officer prior to any assignment of all or any part of this contract.

34 NOTIFICATION OF OWNERSHIP CHANGES

The Contractor shall notify the Contracting Officer in writing when the Contractor becomes aware that a change in its ownership is certain to occur. The Contractor shall also include this provision in all subcontracts under this contract, requiring each subcontractor to notify the Contracting Officer in writing when the subcontractor becomes aware that a change in its ownership is certain to occur.

35 COMPLIANCE WITH EMPLOYMENT ELIGIBILITY VERIFICATION, FORM I-9

The Contractor shall ensure that it is in compliance with the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 U.S.C. 1324a) and the regulations issued there under, and that it will maintain compliance as long as any work is being performed under this contract with the Authority. The Contractor shall also ensure that its subcontractors are in compliance with the Immigration Reform and Control Act of 1986, Pub. L. 99-603 (8 U.S.C. 1324a) and the regulations issued there under, and that its subcontractors will maintain compliance as long as they are performing any work under this contract with the Authority.

36 AUTHORITY PROPERTY

The Contractor shall have custodial management responsibility for all Authority-owned personal and real property assets (hereafter referred to as "property") that are provided during the contract term. This applies to all Authority property that is approved and provided by the Authority's Contracting Officer's Technical Representative (COTR), regardless of cost or whether the Contractor is authorized to directly purchase it or it is purchased by the Authority. Title to all Authority property provided to the Contractor shall remain with the Authority unless otherwise specified in the contract. Custodial management responsibility includes tracking assets, maintaining property records, preparing and submitting property documents, safeguarding assigned property, assisting with inventories, ensuring that assigned property is used only for official Authority purposes, and identifying property that is no longer needed and reporting it to the COTR.

Property assets provided to the Contractor shall be managed by the Contractor using the following identification methods approved by the COTR and their respective Authority Property Control Office:

- 1. An Authority issued bar code number for assets which are formally recorded by the respective Property Control Office
- 2. The manufacturer's assigned serial number
- 3. A unique recording number issued by the Contractor for tracking purposes and approved by the respective Authority Property Control Office when the manufacturer's assigned serial number is unavailable

Contractors shall ensure that they do not use any Authority property that has not been specifically authorized for their use by the COTR. If Contractors require additional Authority property, that requirement shall be submitted to the COTR in writing, including full justification prior to any use of such property.

An inventory of all property provided to the Contractor shall be conducted on the first and last day of the contract term by the Contractor's representative, COTR, and a representative from the respective Authority Property Control Office. An Authority property transfer form with a detailed property inventory listing will be used to transfer property at the beginning of the contract term. The inventory lists shall include the description of the property, bar code number (if assigned), serial number, acquisition cost, acquisition date, manufacturer, year manufactured, location, and user. If the acquisition cost and date for an item are unknown, the respective Authority Property Control Office will determine an estimated cost and date. If the COTR assigns additional property to the Contractor during the contract term or if property is returned to the Authority through the COTR by the Contractor, the respective Authority Property Control Office will be responsible for recording and maintaining an updated property inventory listing for Authority property. The COTR will be responsible for informing their respective Authority Property Control Office whenever property is issued or returned by the Contractor, including any changes that affect the property inventory records.

The Contractor accepts the provided property in "as is" condition. The COTR and/or the respective Authority Property Control Office may conduct scheduled or unscheduled property inventories during the contract term. The Contractor will perform at least annually a physical inventory of all Authority provided property. A corporate officer of the Contractor shall certify to the COTR and respective Authority Property Control Office that the property on the listings is still in the possession of the Contractor and has been used only in connection with this contract. The inventory listings should indicate a description of each asset, acquisition cost, acquisition date, manufacturer, year manufactured, its condition and location, the serial number, and the Authority asset bar code, if applicable. The existing Contractor's representative, new Contractor's representative, COTR, and a representative from the respective Property Control Office will conduct an inventory at the end of the contract period.

The COTR and the Property Control Office shall ensure that all property provided to the Contractor is returned to the Authority in the same condition as originally provided, with the exception of reasonable wear and tear, when it is no longer needed or at the end of the contract term. If the assigned property is not returned by the Contractor in the same condition as it was issued (with the exception of reasonable wear and tear) or has been lost, the Contractor will be liable for the loss or damage and will be required to reimburse the Authority for the cost to replace the property or to restore the property to its original condition, as determined by the Property Control Office and COTR.

You will be advised by separate communications from the Contracting Officer's Technical Representative (COTR) of the necessary property asset management procedures and specific recording levels established for all property under your control during the remainder of your contract term.

37 GENERAL CIVIL RIGHTS PROVISIONS

The Contractor agrees to comply with pertinent statutes, Executive Orders and such rules as are promulgated to ensure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or disability be excluded from participating in any activity conducted with or benefiting from Federal assistance.

This provision binds the Contractor and subcontractors from the solicitation period through the completion of the contract. This provision is in addition to that required of Title VI of the Civil Rights Act of 1964.

38 TITLE VI CLAUSES FOR COMPLIANCE WITH NONDISCRIMINATION REQUIREMENTS

During the performance of this contract, the Contractor, for itself, its assignees and successors in interest (hereinafter referred to as the Contractor) agrees as follows:

- A. <u>Compliance with Regulations</u>. The Contractor (hereinafter includes consultants) will comply with the **Title VI List of Pertinent Nondiscrimination Acts and Authorities,** as they may be amended from time to time, which are herein incorporated by reference and made a part of this Contract.
- B. <u>Non-Discrimination</u>. The Contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The Contractor will not participate directly or indirectly in the discrimination prohibited by the Nondiscrimination Acts and Authorities, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR part 21.
- C. <u>Solicitations for Subcontracts, Including Procurements of Materials and Equipment</u>. In all solicitations either by competitive bidding or negotiation made by the Contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Contractor of the Contractor's obligations under this contract and the Nondiscrimination Acts and Authorities on the grounds of race, color, or national origin.
- D. <u>Information and Reports</u>. The Contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto, and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Airports Authority or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Nondiscrimination Acts and Authorities and instructions. Where any information required of the Contractor is in the exclusive possession of another who fails or refuses to furnish the information, the Contractor will so certify to the Airports Authority or the FAA as appropriate, and will set forth what efforts it has made to obtain the information.
- E. <u>Sanctions for Noncompliance</u>. In the event of the Contractor's noncompliance with the nondiscrimination provisions of this contract, the Airports Authority will impose such contract sanctions as it or the FAA may determine to be appropriate, including, but not limited to: Withholding payments to the Contractor under the contract until the Contractor complies; and/or cancelling, terminating, or suspending a contract, in whole or in part.

F. Incorporation of Provisions. The Contractor will include the provisions of paragraphs A. through F. in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Contractor will take action with respect to any subcontract or procurement as the Airports Authority or the Federal Aviation Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Contractor becomes involved in, or is threatened with litigation by a subcontractor or supplier because of such direction, the Contractor may request the Airports Authority to enter into any litigation to protect the interests of the Airports Authority. In addition, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

39 TITLE VI LIST OF PERTINENT NONDISCRIMINATION AUTHORITIES

During the performance of this contract, the Contractor, for itself, its assignees, and successors in interest, agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin);
- 49 CFR part 21 (Non-discrimination In Federally-Assisted Programs of The Department of Transportation—Effectuation of Title VI of The Civil Rights Act of 1964);
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. §
 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because
 of Federal or Federal-aid programs and projects);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act of 1990, which prohibit discrimination on the basis
 of disability in the operation of public entities, public and private transportation systems, places of public
 accommodation, and certain testing entities (42 U.S.C. §§ 12131 12189) as implemented by
 Department of Transportation regulations at 49 CFR parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

• Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

<u>SECTION VII - POLICIES ON EQUAL OPPORTUNITY, MINORITY AND WOMEN BUSINESS</u> <u>ENTERPRISE (MBE/WBE) PARTICIPATION, AND EMPLOYMENT OF VETERANS</u>

01 EQUAL OPPORTUNITY

No person or firm shall be discriminated against because of race, color, national origin, or sex in the award of Authority contracts. Further, the Contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract.

02 MBE/WBE PARTICIPATION

The Authority is committed to achieving significant participation in its contracting programs by business enterprises that are owned and operated by minorities and women (MBEs and WBEs) regardless of the size of the enterprise. All offerors are strongly encouraged to take active steps to maximize the participation of MBEs and WBEs in this contract. To communicate the Authority's strong desire for significant MBE/WBE participation in this contract, the Authority has set a voluntary MBE/WBE participation percentage of 20%. This percentage is derived from the types of contract opportunities arising from the work to be performed, and the availability of appropriate firms that have been certified by the Authority or by other agencies as firms that are at least 51% owned and controlled by minorities or women.

03 TECHNICAL ASSISTANCE

The Authority will provide assistance to promote the participation of MBEs and WBEs in this contract, including the identification of MBEs and WBEs. To obtain assistance, interested parties are encouraged to contact the Authority's Department of Supplier Diversity at (703) 417-8625, or at the following address: Metropolitan Washington Airports Authority, Department of Supplier Diversity, 1 Aviation Circle, Washington, DC 20001-6000.

04 MONITORING OF MBE/WBE PARTICIPATION

To monitor and evaluate MBE/WBE participation in its contracting programs, the Authority is collecting information on the voluntary efforts made by offerors in securing MBE/WBE participation for this contract. <u>All offerors</u> are encouraged to provide information relating to these efforts (Exhibit A) and return it with their offer.

When MBE/WBE participation has been obtained, all offerors are required to include this information on the Contract Participation Form (Exhibit D) referenced in Section VIII(03)(D), and to attach to the Contract Participation Form the MBE's or WBE's letter of DBE certification from the Authority, or MBE/WBE/DBE certification from another agency. This letter verifies the firm's MBE/WBE status, and is used in this case for the Authority's monitoring of its programs for the purposes of monitoring expenditures to MBE/WBEs, all contractors are required to identify on the Invoice Attachment Form (Exhibit J) expenditures to first tier subcontractors who are MBEs or WBEs. (Note: Exhibits D and J are available from the Business Information section of the Authority's website at http://www.mwaa.com)

The information requested above will be used to assist the Authority in monitoring and evaluating MBE/WBE participation and will not be used to determine to whom this contract will be awarded.

05 EMPLOYMENT OF VETERANS

The Authority has adopted a policy to encourage reasonable efforts whenever possible to offer employment to qualified veterans, including the disabled, by the Authority, its contractors and subcontractors.

Exhibit A Page 1 of 2

Voluntary Efforts to Obtain MBE/WBE Participation

Please	answer	the	following	questions	and	return	this	questionnaire	with	attachments	(i.e.,	ads
meeting	g attenda	nce	list, etc) to	o the Contra	acting	Office	r with	your offer.				

	Project Name: Solicitation Number: Contractor:				
Did yo	ur company:		YES	N	Ю
1.	Attend any pre-proposal meetings that were scheduled by the Authority? If YES, please attach list of meetings attended.				
2.	Advertise subcontracting opportunities in major circulation newspapers such as: a) the <u>Washington Post</u> , b) trade association press, c) minority and women oriented media? If YES, please attach copies of ads for a, b, c.	a) b) c)			
3.	Provide timely written notice to specific MBEs/WBEs that their interest it the contract is being solicited? If YES, please attach a sample of such notification and list MBEs/WBEs contacted on page 2.				
4.	Follow-up initial solicitations of interest by personally contacting MBEs/WBEs? If YES, please list those MBEs/WBEs contacted on page 2.				
5.	Select the portions of the contract to be performed by MBEs/WBEs in a manner that will increase the likelihood of MBE/WBE participation? If YES, please attach a list of those portions of the contract selected for MBE/WBE participation.				
6.	Provide interested MBEs/WBEs with timely and thorough information about the plans, specifications and technical requirements of the contract? If YES, please list the MBEs/WBEs provided with such information on page 2.				
7.	Negotiate in good faith with interested MBEs/WBEs, and not reject MBEs/WBEs as unqualified without sound reasons based on a thorough investigation of their capabilities? If YES, list MBEs/WBEs with whom good faith negotiations were conducted on page 2.				
8.	Assist interested MBEs/WBEs in obtaining bonding and/or insurance? If YES, list MBEs/WBEs assisted on page 2.				

9. For each question answered "YES" that requires a listing of MBEs/WBEs, please provide that listing on this page. Answers need not be limited to a single line. If more space is needed, please attach supplemental sheets. You need list an MBE/WBE firm only once. Use the first column to indicate the question(s) referenced by each firm listed.

Question(s) Referenced	Name of MBE/WBE Firm	Type of Work	Date Contacted	Method of Contact	Results of Contact	Will Participate on Contract? YES/NO	Dollar Value of Proposed Subcontract

SECTION VIII - LOCAL DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION REQUIREMENTS

01 LDBE PARTICIPATION

As stated in Section VIII(03), below, participation by Authority-certified LDBEs is a requirement of this contract. Participating LDBE firms must be LDBE certified by the Authority not later than the date established by the Authority for the award of this contract. If they are not LDBE certified by that date and the apparent successful offeror is relying upon these firms to achieve the required LDBE participation, the Authority is under no obligation to delay the award until certification is obtained and the Authority may select another offeror for contract award. The Authority requires sufficient time to process LDBE certification applications. Consequently, the Authority strongly encourages firms to apply for and obtain their LDBE certifications as early as possible. Prospective LDBEs are encouraged to apply for certification prior to the deadline for submission of proposals.

By signing the offer, offeror commits itself to achievement of the LDBE participation requirement listed in Section VIII(03) below, unless a waiver request meeting the requirements of Section VIII(04) is submitted with the offer. Failure to sign the offer (or otherwise meet the foregoing requirement to commit to the LDBE participation requirement) or submit a waiver request with the offer may result in the offer being found to be in nonconformance with the RFP and rejected. The Authority will treat all other matters of LDBE participation (for example, whether the offeror has made a good faith effort to meet the LDBE requirement, the sufficiency of the submitted Contract Participation Form (Exhibit D), or whether an LDBE for whom preaward substitution is sought was proposed in good faith) as matters relating to the offeror's responsibility that the Authority may determine prior to award through communications with the offeror(s) in question. Unless the Authority declares otherwise, such communications with the offeror(s) in question do not constitute "negotiations" or "discussions" as these terms are used in the Authority's Contracting Manual and do not require communication with other offerors.

02 LDBE CERTIFICATION REQUIREMENTS

To be certified by the Authority as an LDBE, a firm must be a small business concern which is organized for profit and which is located within a 100-mile radius of Washington, DC's zero mile marker. Those business entities located within counties that fall partially within the aforementioned boundary, are also eligible to participate in the Authority's program. A table of a representative list of zip codes that fall within this 100-mile radius is attached as Exhibit B. A "small business" is defined, for LDBE purposes, as a firm that is not dominant in its field, and that meets the Authority's small business size standards for the goods it will be supplying or services it will be performing in this contract. Receipts of all affiliates of the LDBE applicant shall be counted in determining the size of the business. The applicable small business size standard(s) for this solicitation are listed in Exhibit C. The apparent successful offeror who claims LDBE status, and all subcontractors claiming LDBE status, must be certified as such by the Authority prior to award of this contract and are subject to an investigation to establish status as a local disadvantaged business enterprise, in accordance with the criteria specified in Section VIII(07)(A). The Contractor shall prompt, using reasonable measures, all LDBE firms participating in this contract, including itself, If it is an LDBE, to renew to their LDBE certifications and notify the Authority immediately of any change in status that would affect their eligibility for LDBE certification. If an LDBE certified firm participating in this contract outgrows the small business size standard (for example, the firm's annual gross receipts increase sufficiently during the term of this contract to cause the three year average of the LDBE's annual gross receipts to exceed the size standard) during the term of this contract, the firm will continue to be considered an LDBE for purposes of calculating LDBE participation for this contract until this contract, including any option years, expires. If an LDBE certified firm participating in this contract becomes ineligible for LDBE certification for any reason other than growth during the term of this contract (e.g., the LDBE moves outside the local area or the LDBE is purchased by a large or non-local firm), the Authority reserves the right to require the Contractor to substitute a certified LDBE firm to perform the ineligible LDBE's work under this contract.

03 LDBE PARTICIPATION REQUIREMENTS

- A. The LDBE participation requirements which apply to this solicitation are as follows:
 - 1. The LDBE requirement for this solicitation is thirty five percent (35%) of the total offer amount of the contract. The thirty five percent (35%) LDBE participation shall be computed as outlined in Section VIII(03)(C). The Authority may consider the Contractor to be in breach of this contract if the contract work to be performed by LDBEs is performed by firms that are not LDBEs, unless expressly permitted by this contract or waived in writing by the Authority.
 - 2. If the offeror is not an LDBE, then it agrees that the LDBE participation requirement will be met by first tier subcontracts, or by joint venturing with an Authority certified LDBE.
 - 3. No offeror that seeks to meet the LDBE requirement through subcontracting or through a joint venture shall be considered to have met this requirement unless the LDBE subcontractor and/or the LDBE joint venture partner is certified by the Authority and performs a commercially useful function as defined in Section VIII(07)(F).
 - 4. When modifications to the contract increase or decrease the total dollar value of the contract, the Contractor shall make best efforts under the circumstances to maintain the LDBE participation of thirty five percent (35%), so that by completion of the contract, thirty five percent (35%) of the total contract dollars will have been awarded to LDBEs. The Contractor must submit a revised Contract Participation Form (Exhibit D) and Revised Letter(s) of Intent (Exhibit E1), or other documentation acceptable to the Authority, which reflects changes in the LDBE participation associated with the modifications to the contract, within three (3) business days of the Contracting Officer's request.
 - 5. The Authority discourages offerors and Contractors from the practice known as "shopping the contract" when such practice results in a disparate impact on LDBE subcontractors at any tier. Although offerors and Contractors are expected to provide the Authority with the best value possible for the work performed, this expectation should not be construed to mean that the Authority expects or condones any subcontractor, especially LDBEs, to perform work at an unreasonably low price.
 - 6. The Authority is committed to significant participation of minority and woman-owned business enterprises (MBEs and WBEs) in this contract, and encourages offerors to meet the LDBE participation requirement with significant participation by MBEs and WBEs who qualify as LDBEs.
- B. Where subcontracting is proposed, the Authority may evaluate the amount of work subcontracted, the industry practices involved, and any other relevant factors in determining whether the LDBE is performing a commercially useful function.
- C. Computing LDBE participation:

Offerors shall apply the following rules to determine whether their proposed LDBE participation will meet the contract's LDBE requirement (see Section VIII(03)(A)(1)):

1. A prime offeror who is an eligible LDBE certified by the Authority can count the amount of its own participation in the contract towards the LDBE requirement, provided that it is performing a commercially useful function as defined in Section VIII(07)(F).

2. A non-LDBE prime offeror, in a joint venture with an LDBE, can count towards its LDBE requirement only that portion of the total dollar value of the contract work to be performed by the LDBE joint venture partner, provided that the LDBE joint venture partner is performing a commercially useful function as defined in Section VIII(07)(F). The joint venture must be an eligible joint venture as defined in Section VIII(07)(D).

Note: The following subsections discuss the Authority approved methods of calculating LDBE participation for a variety of likely subcontracts.

- 3. Subject to the conditions in Section VIII(03)(C)(4-8) below, a non-LDBE prime offeror who plans to subcontract work to LDBEs can count towards its LDBE requirement the total dollar value of first tier subcontracts to be awarded to LDBEs, provided that:
 - a. Each first tier LDBE subcontractor performs a commercially useful function in the work of the contract as defined in Section VIII(07)(F). In considering normal industry practices, the Authority recognizes that LDBE subcontractors, due to various specialties, may be required to enter into subcontract agreements. The value of the subcontract shall not exceed 20% of the original subcontract value unless the Authority gives written approval of a higher percentage. It is the responsibility of the prime offeror to disclose subcontracting information to the Authority and seek Authority written approval of its LDBE's subcontracting agreements. The Authority reserves the right to determine if an LDBE that subcontracts work is performing a commercially useful function as defined in Section VIII(07)(F); and, that
 - b. Each first tier subcontractor is an eligible LDBE certified by the Authority.
- 4. A non-LDBE prime offeror who plans to obtain supplies or materials from an LDBE manufacturer (i.e., a producer of goods from raw materials or one which substantially alters them before resale), may count towards its LDBE requirement the total dollar value of first tier LDBE manufacturer subcontracts provided that:
 - a. The LDBE assumes the actual responsibility for directly manufacturing the materials or supplies; and,
 - b. Is certified as an LDBE by the Authority.
- 5. A non-LDBE prime offeror who plans to obtain supplies or materials from an LDBE stocking distributor or stocking supplier may count towards its LDBE requirement sVIIIty percent (60%) of the value of the first tier LDBE distributor and stocking supplier contracts provided that:
 - a. The LDBE assumes the actual responsibility for directly providing the materials or supplies; and,
 - b. Is certified as an LDBE by the Authority.
- 6. A non-LDBE prime offeror who plans to obtain materials or supplies from an LDBE non-stocking supplier, i.e., broker, agent, or packager, may count only the broker, agent or packager fee plus transportation cost (usually not more than five percent (5%) of the total value of the subcontract) toward its LDBE requirement provided that the LDBE broker, agent or packager is certified as an LDBE by the Authority.

- 7. An LDBE prime offeror who plans to obtain the services of an LDBE hauling/trucking firm may count towards its LDBE requirement:
 - a. The full value of the transportation services provided by the LDBE, provided that the LDBE hauling/trucking subcontractor is using trucks it owns, insures, and operates using drivers it employs, is performing a commercially useful function as defined in Section VIII(07)(F) and is certified as a LDBE by the Authority under an appropriate SIC code. The LDBE may also receive credit for the full value of the transportation services it provides using trucks leased from another LDBE firm, including an owner operator who is certified as a LDBE. The LDBE who leases trucks from a non-LDBE firm is entitled to credit only for the fee or commission it receives as a result of the lease arrangement. The LDBE does not receive credit for the total value of the transportation services provided by the lessee, since these services are not provided by an LDBE.
 - b. The cost of materials/supplies may <u>not</u> be counted toward the total value of the hauling firm's subcontract unless the LDBE hauling firm is also certified as a LDBE stocking supplier or non-stocking supplier, and requirements in Sections VIII (03)(C)(5)or (6) are met. The total subcontract value, the hauling/trucking fee, and the materials price shall be listed on Exhibits D and E as separate line items.
- 8. A non-LDBE prime offeror who plans to lease or rent equipment from an LDBE equipment rental firm may count the total value of the rental/lease contract provided that:
 - a. the equipment is used for the performance of a distinct element of the contract work; and, that
 - b. the rental/lease cost(s) are not in excess of industry standard rates for leased or rented equipment; and, that
 - c. the LDBE equipment rental firm must actually own or control the equipment and maintain a yard or other facility where such equipment is stored; and, that
 - d. the LDBE equipment rental firm is certified as an LDBE by the Authority.
- D. Offeror Conformance with LDBE Requirements
 - 1. Documents to be Submitted With Offer.

By signing the offer, offeror commits itself to achievement of the LDBE participation requirement listed in Section VIII(03).

- a. To be in conformance with this solicitation, the offeror is required to commit to meeting the LDBE participation requirement in Section VIII (03) above. The offeror's signature on the offer signifies the offerors commitment. If the offeror is unable to commit to the LDBE requirement, it must submit a Request for Waiver (Exhibit H) in accordance with the requirements of Section VIII(04) with the offer below to be in conformance with this solicitation.
- b. <u>All</u> offerors (including those who are Authority certified LDBEs or eligible joint ventures who plan to count themselves to fulfill the LDBE requirement), shall submit a Contract Participation Form (Exhibit D) with their offers. Exhibit D is to list <u>all firms that are participating in the contract and to provide all information required by the Exhibit. This</u>

form must be signed and dated by the Prime Contractor's representative. (Note: Requirements for submission of certification documents set forth in Section VIII(03)(D)(3) must also be met.) Offerors are also asked to identify whether or not the LDBE firms listed on the Exhibit D are also MBEs and WBEs.

2. Documentation to be Submitted After Offer Submission

a. Letters of Intent

The apparent successful offeror shall submit original signed Letters of Intent (Exhibit E) from each of the LDBEs identified on the Contract Participation Form (Exhibit D) . These Letters of Intent must be submitted within three (3) business days after the Contracting Officer's request. Each Exhibit E shall be completely filled out and signed by the LDBE and co-signed by the offeror. A detailed description of the LDBE's scope of work must be provided on Exhibit E.

In an RFP process, the signed Letter of Intent (Exhibit E) represents an intent by the LDBE to perform the subcontract at the price stated on the Contract Participation Form (Exhibit D), if the offer is accepted by the Authority without negotiation. However, if price negotiation occurs, e.g., the Authority requests a best and final offer, the offeror shall submit a revised Exhibit D with its revised offer, and within three (3) business days after the Contracting Officer's request, Exhibit E. The offeror is not required to renegotiate prices with any LDBEs identified on the initial Exhibit D; consequently, the revised Exhibit D submitted after negotiations between the Authority and the offeror is not required to show any change to the original price agreed to by the LDBE.

b. LDBE Certification

- All LDBEs must be certified by the Authority as LDBEs prior to award of this contract. All joint ventures between a non-LDBE and an LDBE must be formally certified by the Authority as an eligible joint venture under this section prior to award of this contract. The definitions and qualifications for LDBEs and eligible joint ventures are outlined in Section VIII(07). Each LDBE that the offeror intends to use or joint venture with that is not currently LDBE certified by the Authority, must submit a completed Application for LDBE Certification (Exhibit F) to the Authority. This Application should be submitted prior to proposal submission, if possible, or promptly thereafter.
- 2. The apparent successful offeror shall submit the following no later than three (3) business days after notification by the Contracting Officer unless otherwise determined by the Contracting Officer:

For each LDBE named by the offeror, that is not currently LDBE certified by the Authority and that has not previously submitted a completed Application for LDBE Certification, the offeror shall submit a completed Application for LDBE Certification (Exhibit F). The completed Exhibit F shall be submitted by the LDBE applicant firm in a sealed envelope identified as "Proprietary Data for Use by the Authority only". The application <u>must</u> be <u>fully</u> completed and must include <u>all</u> documents required by the application. If the Authority determines, after receiving the application, that any information or document is missing from the application, the apparent successful offeror must submit such missing information or document to the Authority within two (2) business days of being notified (unless

another time period is established by the Authority). If an LDBE is already certified by the Authority as an LDBE, the apparent successful offeror may submit a copy of the LDBE certification letter (certification must be current), or submit the firm's LDBE certification number and expiration date. A joint venture must also submit the Application for Joint Venture Eligibility (Exhibit G). LDBE joint venture partners must also complete the Authority application for LDBE certification unless currently certified by the Authority.

- 3. If the apparent successful offeror is a joint venture between a non-LDBE and an LDBE partner, the joint venture should also submit the Application for Joint Venture Eligibility (Exhibit G) as early as possible, either prior to the proposal submission deadline or promptly thereafter. The LDBE joint venture partner must also complete the Authority Application for LDBE Certification (Exhibit F) unless currently certified by the Authority.
- 4. All LDBEs shall keep their LDBE certifications current, and shall immediately notify the Authority if they become ineligible for LDBE certification.
- 3. Failure to Submit Documents and Information

Failure to submit Contract Participation Form (Exhibit D), Letters of Intent (Exhibit E), LDBE Certification Application (Exhibit F)(if needed), or LDBE Waiver Request Procedure (Exhibit H) (if applicable) by the deadline specified by the Contracting Officer, may result in rejection of the offer.

04 REQUEST FOR WAIVER

- A. If an offeror is unable to meet all or any part of the LDBE participation requirements specified in this solicitation, the offeror must submit a Request for Waiver (Exhibit H) of this requirement with the offer. Exhibit H must demonstrate that the offeror has made a good faith effort to meet this LDBE participation requirement. The Request for Waiver must include a detailed report of the efforts employed by the offeror to meet the LDBE requirement, and such reporting must sufficiently satisfy the Authority that the requested waiver is justified. If the Authority is not satisfied that the requested waiver is justified, the Authority may find that the offeror is not in conformance with the RFP and reject the offer. A waiver of any portion of the LDBE requirements does not relieve the offeror of its responsibilities and requirements under Section IX(03)(D) concerning submission of the Contract Participation Form (Exhibit D), Letters of Intent (Exhibit E) and certification documents for the LDBE participation that the offeror has proposed.
- B. The offeror's report supporting the waiver request shall include documentation to substantiate that good faith efforts were made. The following is a sample listing of the efforts that an offeror may make. This list is not intended to be exclusive or exhaustive.
 - 1. Attend any preproposal meetings that are scheduled by the Authority;
 - 2. Advertise in major circulation newspapers such as the <u>Washington Post</u>, trade association publications, and disadvantaged and minority and women oriented media concerning the subcontracting opportunities;
 - 3. Provide written notice to a reasonable number of specific LDBEs that their interest in the contract is being solicited, in sufficient time to allow the LDBEs to participate effectively;

- 4. Follow up initial solicitations of interest by contacting LDBEs to determine with certainty whether the LDBEs were interested:
- 5. Select portions of the work to be performed by LDBEs in a manner that will increase the likelihood of meeting the LDBE requirement;
- 6. Select available LDBEs whose work/business history demonstrates capability to perform the work of the subcontract:
- 7. Provide interested LDBEs with adequate information about the plans, specifications and requirements of the contract;
- 8. Negotiate in good faith with interested LDBEs, and not reject LDBEs as unqualified without sound reasons based on a thorough investigation of their capabilities; and,
- 9. Make efforts to assist interested LDBEs in obtaining bonding and/or insurance.

The good faith effort of an offeror (if it is unable to meet the LDBE requirements) shall be evaluated by the Authority to determine whether the efforts to obtain LDBE participation were those that a firm aggressively seeking subcontractors would take in the normal course of doing business; whether the steps taken had a reasonable probability of success; and whether based upon the size, scope and complexity of the subcontract, there were qualified LDBE firms available and willing to accept the contract at a competitive price.

Efforts that are merely pro forma are not good faith efforts to meet the requirement. Efforts to obtain LDBE participation are considered pro forma, even if they are sincerely motivated, if, given all relevant circumstances, they could not reasonably be expected to produce a level of LDBE participation to meet the LDBE requirement. For example, advertising or bulk mailings, alone or together, are considered pro forma and not good faith efforts unless followed up with telephone calls and/or correspondence consistent with normal business practice. If the LDBE provides a quote or offer, reasonable efforts to negotiate must be demonstrated.

- C. Documents Required for Request for Waiver
 - 1. The Request for Waiver (Exhibit H) of any portion of the LDBE requirement, the report of Good Faith Efforts, and all documentation of good faith efforts shall be submitted by an offeror with its offer by the offer deadline. Failure to submit the Request for Waiver with the offer will cause the offer to be rejected as nonconforming to the solicitation.
 - 2. LDBE Unavailability Certification Form (Exhibit I) is to be used if the LDBE contacted responded to the prime offeror and stated that it was unavailable for a specific reason. These forms, if applicable, shall be submitted with the Request for Waiver (Exhibit H) of the requirement.
- D. The Authority's Department of Supplier Diversity will assist offerors by identifying Authority certified LDBE firms and minority-owned and woman-owned firms. Upon request, a directory of certified LDBEs and certified Disadvantaged Business Enterprises (DBEs) who may be eligible LDBEs will be provided for information only. The Authority does not warrant or guarantee the performance capability of any firms listed therein. The Authority's Department of Supplier Diversity may be contacted at 703-417-8625, or at the following address: Metropolitan Washington Airports Authority, Department of Supplier Diversity, 1 Aviation Circle, Washington, DC 20001-6000.

05 PRE-AWARD SUBSTITUTIONS

The Authority expects contractors to achieve LDBE participation using the firm(s) specified on the Contract Participation Form (Exhibit D). On occasion it may be necessary to substitute other firms to achieve the LDBE participation. No substitution may occur without the Authority's prior written approval. The Authority will approve a proposed substitution if it determines that the offeror has acted in good faith in attempting to meet the LDBE participation achievement and if the Authority concurs that the substitution is necessary. The following are some examples of when substitution may be necessary:

- A. Failure to qualify as an LDBE, if the firm was proposed in good faith by the offeror.
- B. Death or physical disability, if the named LDBE subcontractor, or LDBE partner of the joint venture is an individual.
- C. Dissolution, if a corporation or partnership.
- D. Bankruptcy.
- E. Inability to furnish the required performance and payment bond.
- F. Inability to obtain, or loss of, a license necessary for the performance of the particular category of work.
- G. Failure or refusal to execute the subcontract in accordance with the terms of an offer negotiated with the Contractor, but only where the Contracting Officer can ascertain with reasonable certainty the terms of such offer. In the absence of any other factors, such a failure or refusal will be considered an unusual situation only if the successful offeror obtained an enforceable commitment from the subcontractor involved.
- H. Failure to comply with the terms and conditions of the contract or those of its subcontract or joint venture agreement.
- I. Voluntary decision by the LDBE to not participate on the project prior to signing the Letter of Intent (Exhibit E).
- J. The Authority determines that a named LDBE is not likely to be performing a commercially useful function or is unable to perform work of the nature and scope claimed for it and the Authority finds that the offeror acted in good faith with respect to its decision to propose that LDBE.

06 POST-AWARD COMPLIANCE

- A. Compliance Reviews
 - 1. The Authority may conduct post-award compliance reviews to ensure that the named LDBEs on the original or, as a result of contract modification, amended Contract Participation Form (Exhibit D), submitted to and accepted by the Authority, perform the work as assigned, and at least at the agreed price that was identified on Exhibit D. Specifically, compliance reviews verify: (1) the participation of those LDBE subcontractors identified on Exhibit D; (2) the scope of work for each LDBE listed on Exhibit D; and, (3) at least at the agreed price identified for each LDBE listed on Exhibit D. The Authority may use the Invoice Attachment Form (Exhibit J), and any other appropriate information, to verify the participation of each LDBE subcontractor identified on Exhibit D, as submitted by the Contractor. Delineated on these forms will be the activities of all first tier subcontractors (and second or third tier subcontractors, if required),

- including contract amount and reported payment, for the purpose of monitoring the progress of all phases of the contract. Voluntary MBE/WBE participation will also be reported on Exhibit J.
- 2. The Authority is committed to equitable treatment, and meaningful utilization of, and timely payment and return of retainage to, LDBE subcontractors. All offerors are advised that the contract resulting from this solicitation will include the subcontractor payments provision referenced in Section VII(04)(C). This provision must be incorporated into all subcontracts exceeding \$5,000.
- B. By accepting the contract, the Contractor agrees to the following requirements:
 - 1. The Contractor shall prompt, with reasonable measures, all LDBE firms participating in this contract to renew their LDBE certifications and notify the Authority immediately of any change in status that would affect their eligibility for LDBE certification.
 - 2. The Contractor shall submit a revised Contract Participation Form (Exhibit D) and Revised Letter(s) of Intent (Exhibit E1), or other documentation acceptable to the Authority, which reflects changes in the LDBE participation associated with the modifications to the contract. A revised Exhibit D, if required, shall be provided to the Contracting Officer concurrent with submission of the proposal for the changed work. Revised Exhibit(s) E1, if required, shall be provided to the Contracting Officer concurrent with submission of the signed modification.
 - 3. The Contractor shall submit a completed Invoice Attachment Form (Exhibit J) with each invoice. The Contractor is responsible for the accuracy of <u>all</u> information reported.
 - 4. The Contractor shall allow the Authority access to records relating to the contract, including but not limited to, subcontracts, payroll records, tax information and accounting records, for the purpose of ascertaining whether the LDBEs are performing the scheduled subcontract work and the Contractor is otherwise in compliance with the contract's LDBE participation requirements.
 - 5. The Contractor shall maintain LDBE subcontractor records of all LDBE subcontracting activities. These records shall include current LDBE subcontractor logs, the Authority's Invoice Attachment Form (Exhibit J) and evidence of payments to LDBE subcontractors, including but not limited to, copies of canceled checks and paid invoices. These records must evidence compliance with the terms of the contract. Copies of these records will be available to the Contracting Officer or the Equal Opportunity Specialist to review. The Contractor shall document any changes in LDBE subcontractor(s) resulting from new LDBE subcontracts, completion of existing LDBE contracts or approved substitution of an LDBE subcontractor.
 - 6. The Contractor shall maintain a detailed record of every non-compliance issue and corrective action taken. Examples of non-compliance issues are found in Section VIII(06)(C).
- C. The Contractor shall be found to be in non-compliance if the Contractor fails to fulfill the LDBE participation commitment contained in the Contract Participation Form (Exhibit D) and Letter(s) of Intent (Exhibit E), or in revisions to these documents. The following are examples of non-compliance:
 - 1. The terms of a subcontract with an LDBE do not agree with the Contract Participation Form (Exhibit D) and/or Letter of Intent (Exhibit E).
 - 2. A firm other than the LDBE listed on the Contract Participation Form (Exhibit D) is performing the subcontract work listed on Exhibit D, unless the substitution was authorized by the Authority.

The Invoice Attachment Form (Exhibit J) may be used by the Authority to monitor the activities of LDBEs and to identify incidents of non-compliance.

- 3. The Contractor is purchasing the supplies or materials when the Contractor has represented to the Authority that the LDBE will supply both the labor and supplies or materials for the subcontract.
- 4. The Contractor requires the LDBE to perform additional work that was not agreed to in the Letter of Intent (Exhibit E) and the formal contract between the Contractor and the LDBE, without additional compensation, and without filing a Revised Letter of Intent (Exhibit E1) with the Authority.
- 5. The Contractor is paying the LDBE subcontractor less than the agreed price of the subcontract as defined in the Letter of Intent (Exhibit E), or in the Revised Letter of Intent (Exhibit E1) without cause.
- 6. The Contractor is not paying the LDBE subcontractor in accordance with the payment provisions of their subcontract.
- 7. The Contractor fails to submit Invoice Attachment Form (Exhibit J) with his/her invoice submittal, and other documents requested for the purpose of conducting a post-award compliance review.
- 8. The Contractor's expenditures to an LDBE subcontractor do not meet the LDBE dollar commitment made in the Contract Participation Form (Exhibit D).
- 9. The Contractor fails to accurately report payments to the LDBE subcontractor on the Invoice Attachment Form (Exhibit J).
- 10. The LDBE subcontractor is not performing a commercially useful function as defined in Section VIII(07)(F).
- D. If the Contractor is found to be in non-compliance, the Authority may impose appropriate sanctions, (including, but not limited to, withholding of payments or termination of the contract in accordance with the <u>DEFAULT</u> provision) if corrective action acceptable to the Authority is not taken within forty-eight (48) hours (or such other time period deemed appropriate by the Contracting Officer) after notification by the Contracting Officer.
- E. If an LDBE listed on the Contract Participation Form (Exhibit D) is determined not to be performing a commercially useful function and it is determined by the Authority that a misrepresentation was made by the LDBE, the firm's LDBE certification with the Authority may be revoked. In such cases, the Contractor will be required to replace the LDBE found to be ineligible with another eligible, certifiable LDBE approved by the Authority, that will perform a commercially useful function.
- F. POST-AWARD SUBSTITUTION: The Authority may permit the Contractor to make post-award LDBE substitutions consistent with the principles established in Section VIII(05).

07 DEFINITIONS

A. An LDBE is defined as a small business concern that is organized for profit and that is located within a 100-mile radius of the District of Columbia's zero mile marker. Those business entities located within counties that fall partially within the aforementioned boundary are also eligible to participate in the Authority's LDBE program. "Located" means that as of the date of its LDBE application, a business

entity has an established office or place of business within a city, county, or town within the 100-mile radius referenced above. Evidence of whether a business is "located" within the region include: an office address within the 100-mile radius that is not a post office box and that is not an office principally devoted to the performance of work on a single project, and; the firm's owner, management, or the firm's employees are present and conduct the firm's business on a regular and frequent basis at that address. In addition, the firm must have one or more of the following: a business license or registration to do business locally, if applicable to the business; receipts showing payment of local taxes by the business; current performance of work in the local area; or other evidence that demonstrates that the business entity has an established local presence, and that its local presence is not just in connection with performance of a contract or project that it has received, or that it anticipates receiving, from the Authority or any other entity. A residential address will not be considered an office address unless the firm demonstrates to the Authority that the residence is used on a full-time basis during business hours for conducting the firm's business.

Further, a local office that principally serves to market the firm locally is not considered to have an established local presence, unless the office is used full-time by principals and employees of the firm, the firm pays local taxes and the office is used for the current performance of work in the local area. A firm that is doing business from a local telework center, or similar facility in which businesses share facilities, such as receptionists or copiers, on a short or long term basis, will not be considered to have a sufficient local presence unless the firm's principals and employees use it on a full-time basis.

A "small business" is defined, for LDBE purposes, as a firm that is not dominant in its field, and that meets the Authority's small business size standards for the goods it will be supplying or services it will be performing in a specific solicitation. The receipts of the business or the number of employees, whichever is applicable to the size standard in question, including all affiliates, will be counted in determining size of the firm. The Authority uses the Small Business Administration regulations, 13 CFR Part 121, as guidance in determining whether firms are affiliates of each other. A firm is not considered dominant in its field of operation when it does not exercise a controlling or major influence in a kind of business activity in which a number of business concerns are primarily engaged. In determining whether dominance exists, consideration will be given to all appropriate factors including volume of business, number of employees, financial resources, and competitive status or position.

- B. "Affiliates". Business concerns are affiliates of each other when either directly or indirectly, (1) one business concern controls or has the power to control the other, or (2) a third party or parties controls or has the power to control both. In determining whether business concerns are affiliated, consideration shall be given to all appropriate factors, including common ownership, common management, and contractual relationships. The provisions of 13 CFR Part 121 will be used to guide the Authority in determining whether firms are affiliated.
- C. For purposes of Section VIII of this solicitation, the term "subcontractor" shall mean an individual or firm with which the offeror proposes to enter into a contract for the supply of goods and/or performance of services for the offeror. The term "subcontractor" shall refer only to first tier subcontractors unless the contract also permits second tier subcontracting.
- D. The term "joint venture" shall mean an association of two or more businesses to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills, and/or knowledge.

A joint venture competing as a prime offeror under a full and open competitive opportunity is eligible to be considered an LDBE joint venture if it meets the following requirements: the LDBE member of the joint venture meets the definition of an eligible LDBE; the LDBE member will perform a commercially

useful function and will share in the ownership, control, management, responsibility, risks, and profits of the joint venture.

- E. "Minority Business Enterprises and Women Business Enterprises" (MBE/WBE). The Authority is committed to achieving significant participation of minority and woman-owned businesses in its contracting opportunities. To be considered a minority or woman-owned business enterprise, the business concern must be at least 51 percent owned and controlled by one or more minority (African American, Hispanic American, Native American, Asian-Indian American, Asian Pacific American) or female individuals. The firm's management and daily business operations must be controlled by one or more of the qualifying individuals who own it.
- F. "Commercially Useful Function:"

An LDBE is considered to perform a commercially useful function when it:

- Engages in meaningful work that provides for a performance of a distinct element of the contract where that distinct element of work is worthy of the dollar amount to be awarded to the LDBE; and,
- 2. Carries out its responsibilities by actually performing, managing, and supervising the work involved.

Metropolitan Washington Airports Authority

ZIP CODE REFERENCE - LOCAL AREA OF TRADE

Zip Code	State	County	Zip Code	State	County	Zip Code	State	County	Zip Code	State	County
20001	DC	DISTRICT OF COLUMBIA	20613	MD	PRINCE GEORGE'S	20774	MD	PRINCE GEORGE'S	21071	MD	BALTIMORE
20002	DC	DISTRICT OF COLUMBIA	20615	MD	CALVERT	20776	MD	ANNE ARUNDEL	21074		CARROLL
20003		DISTRICT OF COLUMBIA			CHARLES	20777	MD	HOWARD	21075		HOWARD
20004 20005	DC DC	DISTRICT OF COLUMBIA DISTRICT OF COLUMBIA		MD MD	CHARLES ST. MARY'S	20778 20779	MD MD	ANNE ARUNDEL ANNE ARUNDEL	21076 21077	MD MD	ANNE ARUNDEL ANNE ARUNDEL
20005	DC	DISTRICT OF COLUMBIA	20619	MD	ST. MARY'S	20779		PRINCE GEORGE'S	21077	MD	HARFORD
20007		DISTRICT OF COLUMBIA	20620	MD	ST. MARY'S	20782		PRINCE GEORGE'S	21082	MD	BALTIMORE
20008	DC	DISTRICT OF COLUMBIA	20621	MD	ST. MARY'S	20783	MD	PRINCE GEORGE'S	21084	MD	HARFORD
20009	DC	DISTRICT OF COLUMBIA		MD	ST. MARY'S	20784	MD	PRINCE GEORGE'S	21085	MD	HARFORD
20010		DISTRICT OF COLUMBIA	20623	MD	PRINCE GEORGE'S	20785	MD	PRINCE GEORGE'S	21087	MD	BALTIMORE
20011	DC DC	DISTRICT OF COLUMBIA		MD MD	ST. MARY'S	20794	MD	HOWARD	21090 21093	MD	ANNE ARUNDEL
20012 20015	DC	DISTRICT OF COLUMBIA DISTRICT OF COLUMBIA		MD	ST. MARY'S ST. MARY'S	20812 20814	MD MD	MONTGOMERY MONTGOMERY	21102	MD MD	BALTIMORE CARROLL
20016	DC	DISTRICT OF COLUMBIA			ST. MARY'S	20815	MD	MONTGOMERY	21104	MD	CARROLL
20017	DC	DISTRICT OF COLUMBIA	20632	MD	CHARLES	20816	MD	MONTGOMERY	21108	MD	ANNE ARUNDEL
20018		DISTRICT OF COLUMBIA			ST. MARY'S	20817	MD	MONTGOMERY	21111	MD	BALTIMORE
20019	DC	DISTRICT OF COLUMBIA		MD	ST. MARY'S	20818	MD	MONTGOMERY	21113	MD	ANNE ARUNDEL
20020 20024	DC DC	DISTRICT OF COLUMBIA DISTRICT OF COLUMBIA		MD MD	CHARLES CALVERT	20832 20833	MD MD	MONTGOMERY MONTGOMERY	21114 21117	MD MD	ANNE ARUNDEL BALTIMORE
20024	DC	DISTRICT OF COLUMBIA			CHARLES	20837	MD	MONTGOMERY	21120	MD	BALTIMORE
20036		DISTRICT OF COLUMBIA			CHARLES	20838	MD	MONTGOMERY	21122	MD	ANNE ARUNDEL
20037	DC	DISTRICT OF COLUMBIA	20646	MD	CHARLES	20839	MD	MONTGOMERY	21128	MD	BALTIMORE
20045	DC	DISTRICT OF COLUMBIA		MD	ST. MARY'S	20841		MONTGOMERY	21131	MD	BALTIMORE
20099		DISTRICT OF COLUMBIA			ST. MARY'S	20842	MD	MONTGOMERY	21132		HARFORD
20260 20336	DC DC	DISTRICT OF COLUMBIA DISTRICT OF COLUMBIA	20656 20657	MD MD	ST. MARY'S CALVERT	20850 20851	MD MD	MONTGOMERY MONTGOMERY	21133 21136	MD MD	BALTIMORE BALTIMORE
20374	DC	DISTRICT OF COLUMBIA			CHARLES	20852	MD	MONTGOMERY	21140	MD	ANNE ARUNDEL
20376		DISTRICT OF COLUMBIA			ST. MARY'S	20853	MD	MONTGOMERY	21144	MD	ANNE ARUNDEL
20388	DC	DISTRICT OF COLUMBIA	20662	MD	CHARLES	20854	MD	MONTGOMERY	21146	MD	ANNE ARUNDEL
20391	DC	DISTRICT OF COLUMBIA		MD	CHARLES	20855	MD	MONTGOMERY	21152	MD	BALTIMORE
20398	DC	DISTRICT OF COLUMBIA		MD	ST. MARY'S	20860	MD	MONTGOMERY	21154	MD	HARFORD
20500 19701		DISTRICT OF COLUMBIA NEW CASTLE		MD MD	ST. MARY'S ST. MARY'S	20861 20862	MD MD	MONTGOMERY MONTGOMERY	21155 21156	MD MD	BALTIMORE BALTIMORE
19701		NEW CASTLE		MD	CHARLES	20866	MD	MONTGOMERY	21157	MD	CARROLL
19707		NEW CASTLE		MD	CALVERT	20868	MD	MONTGOMERY	21158	MD	CARROLL
19709	DE	NEW CASTLE	20677	MD	CHARLES	20871	MD	MONTGOMERY	21160	MD	HARFORD
19711		NEW CASTLE			CALVERT	20872	MD	MONTGOMERY	21161	MD	HARFORD
19713		NEW CASTLE		MD	ST. MARY'S	20874	MD	MONTGOMERY	21162	MD	BALTIMORE
19716 19717		NEW CASTLE NEW CASTLE	20684 20685	MD MD	ST. MARY'S CALVERT	20876 20877	MD MD	MONTGOMERY MONTGOMERY	21163 21201	MD MD	HOWARD BALTIMORE (CITY)
19720		NEW CASTLE		MD	ST. MARY'S	20878	MD	MONTGOMERY	21201	MD	BALTIMORE (CITY)
19734		NEW CASTLE		MD	CALVERT	20879	MD	MONTGOMERY	21204	MD	BALTIMORE
19735	DE	NEW CASTLE	20689	MD	CALVERT	20882	MD	MONTGOMERY	21205	MD	BALTIMORE (CITY)
19736		NEW CASTLE		MD	ST. MARY'S	20886	MD	MONTGOMERY	21206	MD	BALTIMORE (CITY)
19801		NEW CASTLE		MD	ST. MARY'S	20895	MD	MONTGOMERY	21207	MD	BALTIMORE
19802 19804	DE DE	NEW CASTLE NEW CASTLE		MD MD	CHARLES CHARLES	20901 20902	MD MD	MONTGOMERY MONTGOMERY	21208 21209	MD MD	BALTIMORE BALTIMORE (CITY)
19805		NEW CASTLE		MD	HOWARD	20902		MONTGOMERY	21210	MD	BALTIMORE (CITY)
19806		NEW CASTLE		MD	PRINCE GEORGE'S	20904	MD	MONTGOMERY	21211	MD	BALTIMORE (CITY)
19807	DE	NEW CASTLE	20706	MD	PRINCE GEORGE'S	20905	MD	MONTGOMERY	21212	MD	BALTIMORE (CITY)
19808	DE	NEW CASTLE	20707	MD	PRINCE GEORGE'S	20906	MD	MONTGOMERY	21213	MD	BALTIMORE (CITY)
19901	DE DE	KENT		MD	PRINCE GEORGE'S PRINCE GEORGE'S	20910		MONTGOMERY	21214	MD	BALTIMORE (CITY)
19902 19904	DE	KENT KENT	20710 20711	MD MD	ANNE ARUNDEL	20912 21001	MD MD	MONTGOMERY HARFORD	21215 21216	MD MD	BALTIMORE (CITY) BALTIMORE (CITY)
19906		KENT	20712	MD	PRINCE GEORGE'S	21005		HARFORD	21217		BALTIMORE (CITY)
19931	DE	SUSSEX	20714	MD	CALVERT	21009	MD	HARFORD	21218		BALTIMORE (CITY)
19933		SUSSEX			PRINCE GEORGE'S	21010		HARFORD	21219		BALTIMORE
19934		KENT		MD	PRINCE GEORGE'S	21012		ANNE ARUNDEL	21220		BALTIMORE
19938 19939	DE DE	KENT SUSSEX	20720 20721	MD MD	PRINCE GEORGE'S PRINCE GEORGE'S	21013 21014		BALTIMORE HARFORD	21221 21222	MD MD	BALTIMORE BALTIMORE
19939		SUSSEX		MD	PRINCE GEORGE'S	21014		HARFORD	21223	MD	BALTIMORE (CITY)
19941		SUSSEX			HOWARD	21017		HARFORD	21224	MD	BALTIMORE (CITY)
19943	DE	KENT	20724	MD	ANNE ARUNDEL	21028	MD	HARFORD	21225	MD	BALTIMORE (CITY)
19946		KENT	20732		CALVERT	21029		HOWARD	21226	MD	ANNE ARUNDEL
19947		SUSSEX			ANNE ARUNDEL	21030		BALTIMORE	21227		BALTIMORE
19950 19952		SUSSEX KENT		MD MD	PRINCE GEORGE'S CALVERT	21031 21032		BALTIMORE ANNE ARUNDEL	21228 21229	MD	BALTIMORE BALTIMORE (CITY)
19953		KENT			PRINCE GEORGE'S	21032		HARFORD	21230		BALTIMORE (CITY)
19954		KENT			PRINCE GEORGE'S	21035		ANNE ARUNDEL	21231	MD	BALTIMORE (CITY)
19956	DE	SUSSEX	20743	MD	PRINCE GEORGE'S	21036	MD	HOWARD	21233	MD	BALTIMORE (CITY)
19960		SUSSEX		MD	PRINCE GEORGE'S	21037		ANNE ARUNDEL	21234	MD	BALTIMORE
19962		KENT			PRINCE GEORGE'S	21040		HARFORD	21236		BALTIMORE
19963 19964		SUSSEX KENT		MD MD	PRINCE GEORGE'S PRINCE GEORGE'S	21042 21043		HOWARD HOWARD	21237 21239	MD MD	BALTIMORE BALTIMORE (CITY)
19968		SUSSEX			PRINCE GEORGE'S	21043		HOWARD	21239		ANNE ARUNDEL
19973		SUSSEX	20751		ANNE ARUNDEL	21045		HOWARD	21244	MD	BALTIMORE
19977	DE	KENT	20754	MD	CALVERT	21046	MD	HOWARD	21286	MD	BALTIMORE
19979		KENT			ANNE ARUNDEL	21047		HARFORD	21401		ANNE ARUNDEL
20601 20602	MD MD	CHARLES CHARLES		MD MD	ANNE ARUNDEL HOWARD	21048 21050		CARROLL HARFORD	21402 21403		ANNE ARUNDEL
20602		CHARLES			PRINCE GEORGE'S	21050		BALTIMORE	21403		ANNE ARUNDEL ANNE ARUNDEL
20606		ST. MARY'S			HOWARD	21053		BALTIMORE	21530		ALLEGANY
20607	MD	PRINCE GEORGE'S			ANNE ARUNDEL	21054		ANNE ARUNDEL	21555		ALLEGANY
20608	MD	PRINCE GEORGE'S		MD	PRINCE GEORGE'S	21057		BALTIMORE	21601	MD	TALBOT
20609	MD	ST. MARY'S		MD	PRINCE GEORGE'S	21060		ANNE ARUNDEL	21607	MD	QUEEN ANNE'S
20611	MD	CHARLES	20772	טועו	PRINCE GEORGE'S	21061	טואו	ANNE ARUNDEL	21610	טואו	KENT

Metropolitan Washington Airports Authority

ZIP CODE REFERENCE - LOCAL AREA OF TRADE

Zip Code	State	County	Zip Co	de State	County	Zip Code	State	County	Zip Code	State	County
21612		TALBOT	2178		CARROLL	17220		FRANKLIN	17535		LANCASTER
21613		DORCHESTER	2178		CARROLL	17221		FRANKLIN	17536		LANCASTER
21617		QUEEN ANNE'S	2178		FREDERICK	17222		FRANKLIN	17538		LANCASTER
21619		QUEEN ANNE'S KENT	2179 2179		FREDERICK	17223 17224		FULTON FRANKLIN	17540 17543		LANCASTER LANCASTER
21620 21622		DORCHESTER	2179		CARROLL FREDERICK	17224		FRANKLIN	17545		LANCASTER
21623		QUEEN ANNE'S	2179		HOWARD	17228		FULTON	17547		LANCASTER
21625		TALBOT	2179		WASHINGTON	17229		FULTON	17551		LANCASTER
21626	MD	DORCHESTER	2179	7 MD	HOWARD	17232	PA	FRANKLIN	17552		LANCASTER
21627		DORCHESTER	2179		FREDERICK	17233		FULTON	17554		LANCASTER
21629		CAROLINE	2180		WICOMICO	17236		FRANKLIN	17557		LANCASTER
21631 21632		DORCHESTER CAROLINE	2180- 2181-		WICOMICO WICOMICO	17237 17238		FRANKLIN FULTON	17560 17562		LANCASTER LANCASTER
21634		DORCHESTER	2181		SOMERSET	17239		HUNTINGDON	17563		LANCASTER
21635		KENT	2182		SOMERSET	17240		CUMBERLAND	17565		LANCASTER
21636	MD	CAROLINE	2182	2 MD	WORCESTER	17241	PA	CUMBERLAND	17566	PA	LANCASTER
21638		QUEEN ANNE'S	2182		SOMERSET	17243		HUNTINGDON	17572		LANCASTER
21639		CAROLINE	2182		WICOMICO	17244		FRANKLIN	17576		LANCASTER
21640 21643		CAROLINE DORCHESTER	2183 2183		WICOMICO DORCHESTER	17246 17252		FRANKLIN FRANKLIN	17579 17582		LANCASTER LANCASTER
21644		QUEEN ANNE'S	2183		WICOMICO	17255		HUNTINGDON	17584		LANCASTER
21645		KENT	2183		SOMERSET	17257		CUMBERLAND	17601		LANCASTER
21648		DORCHESTER	2184	O MD	WICOMICO	17262	PA	FRANKLIN	17602	PA	LANCASTER
21649		CAROLINE	2184		WICOMICO	17265		FRANKLIN	17603		LANCASTER
21650		KENT	2185		WICOMICO	17266		CUMBERLAND	19310		CHESTER
21651 21654		KENT TALBOT	2185 2185		WORCESTER SOMERSET	17267 17268		FULTON FRANKLIN	19311 19317		CHESTER DELAWARE
21655		CAROLINE	2185		WICOMICO	17200		FRANKLIN	19317		CHESTER
21657		QUEEN ANNE'S	2186		WICOMICO	17301		ADAMS	19330		CHESTER
21658		QUEEN ANNE'S	2186	9 MD	DORCHESTER	17302	PA	YORK	19348		CHESTER
21659		DORCHESTER	2187		SOMERSET	17304		ADAMS	19350		CHESTER
21660		CAROLINE	2187		WORCESTER	17307		ADAMS	19352		CHESTER
21661		KENT	2187		WICOMICO	17309		YORK	19362		CHESTER
21662 21663		TALBOT TALBOT	2187 2190		WICOMICO CECIL	17313 17314		YORK YORK	19363 19365		CHESTER CHESTER
21665		TALBOT	2190		CECIL	17314		YORK	19374		CHESTER
21666		QUEEN ANNE'S	2190		CECIL	17316		ADAMS	19390		CHESTER
21667	MD	KENT	2191	1 MD	CECIL	17319	PA	YORK	20105	VA	LOUDOUN
21668		QUEEN ANNE'S	2191		CECIL	17320		ADAMS	20106		CULPEPER
21671		TALBOT	2191		CECIL	17321		YORK	20107		LOUDOUN
21672		DORCHESTER	2191		CECIL	17322		YORK	20109		PRINCE WILLIAM
21673 21675		TALBOT DORCHESTER	2191 2191		CECIL CECIL	17324 17325		CUMBERLAND ADAMS	20110 20111		MANASSAS (CITY) PRINCE WILLIAM
21676		TALBOT	2192		CECIL	17327		YORK	20112		PRINCE WILLIAM
21677		DORCHESTER	0807		SALEM	17329		YORK	20115		FAUQUIER
21678	MD	KENT	0807		SALEM	17331		YORK	20117	VA	LOUDOUN
21679		TALBOT	0832		CUMBERLAND	17339		YORK	20119		FAUQUIER
21701		FREDERICK	1553		BEDFORD	17340		ADAMS	20120		FAIRFAX
21702 21703		FREDERICK FREDERICK	1553 1553		BEDFORD FULTON	17344 17345		ADAMS YORK	20121 20124		FAIRFAX FAIRFAX
21703		FREDERICK	1668		FULTON	17343		YORK	20124		LOUDOUN
21710		FREDERICK	1700		CUMBERLAND	17349		YORK	20130		CLARKE
21711	MD	WASHINGTON	1701	1 PA	CUMBERLAND	17350	PA	ADAMS	20132	VA	LOUDOUN
21713		WASHINGTON	1701		CUMBERLAND	17352		YORK	20135		CLARKE
21716		FREDERICK	1701		YORK	17353		ADAMS	20136		PRINCE WILLIAM
21718		FREDERICK WASHINGTON	1702 1702		LANCASTER CUMBERLAND	17354 17356		YORK YORK	20137 20141		FAUQUIER LOUDOUN
21719 21722		WASHINGTON	1702		DAUPHIN	17360		YORK	20141		PRINCE WILLIAM
21723		HOWARD	1703		DAUPHIN	17361		YORK	20144		FAUQUIER
21727		FREDERICK	1703		DAUPHIN	17362		YORK	20147		LOUDOUN
21733		WASHINGTON	1704		CUMBERLAND	17363		YORK	20148		LOUDOUN
21737		HOWARD	1705		CUMBERLAND	17364		YORK	20151		FAIRFAX
21738 21740		HOWARD WASHINGTON	1705 1705		PERRY CUMBERLAND	17365 17366		YORK YORK	20152 20155		LOUDOUN PRINCE WILLIAM
21740		WASHINGTON	1705		DAUPHIN	17368		YORK	20158		LOUDOUN
21750		WASHINGTON	1706		CUMBERLAND	17370		YORK	20164		LOUDOUN
21754		FREDERICK	1707		CUMBERLAND	17372	PA	ADAMS	20165		LOUDOUN
21755		FREDERICK	1707		LEBANON	17375		ADAMS	20166		LOUDOUN
21756		WASHINGTON	1709		PERRY	17401		YORK	20169		PRINCE WILLIAM
21757 21758		CARROLL	1710		DAUPHIN	17402		YORK	20170		FAIRFAX
21756		FREDERICK CARROLL	1710. 1710		DAUPHIN DAUPHIN	17403 17404		YORK YORK	20171 20175		FAIRFAX LOUDOUN
21766		ALLEGANY	1710		DAUPHIN	17406		YORK	20176		LOUDOUN
21767		WASHINGTON	1710		DAUPHIN	17407		YORK	20180		LOUDOUN
21769	MD	FREDERICK	1711) PA	DAUPHIN	17501	PA	LANCASTER	20181	VA	PRINCE WILLIAM
21770		FREDERICK	1711		DAUPHIN	17502		LANCASTER	20184		FAUQUIER
21771		FREDERICK	1711		DAUPHIN	17505		LANCASTER	20186		FAUQUIER
21773 21774		FREDERICK FREDERICK	1711 1712		DAUPHIN DAUPHIN	17509 17512		LANCASTER LANCASTER	20187 20190		FAUQUIER FAIRFAX
21774		CARROLL	1712		FRANKLIN	17512		LANCASTER	20190		FAIRFAX
21777		FREDERICK	1721		BEDFORD	17518		LANCASTER	20194		FAIRFAX
21778		FREDERICK	1721		FULTON	17520		LANCASTER	20197		LOUDOUN
21779		WASHINGTON	1721		FRANKLIN	17522		LANCASTER	20198		FAUQUIER
21780		FREDERICK	1721		FULTON	17527		LANCASTER	22002		RAPPAHANNOCK
21782 21783		WASHINGTON WASHINGTON	1721 1721		FRANKLIN FRANKLIN	17529 17532		LANCASTER LANCASTER	22003 22015		FAIRFAX FAIRFAX
-1100	טוייו		1721		o naixena	11002	. /1	2.410/10/12/K	22010	*/~	

Metropolitan Washington Airports Authority

ZIP CODE REFERENCE - LOCAL AREA OF TRADE

Zip Code	State	County	Zip Code	State	County	Zip Code	State	County	Zip Code	State	County
22026	VA	PRINCE WILLIAM	22504	VA	ESSEX	22827	VA	ROCKINGHAM	23229	VA	HENRICO
22027		FAIRFAX	22508		ORANGE	22835	VA	PAGE	23230		HENRICO
22030 22031		FAIRFAX (CITY) FAIRFAX	22509 22511		ESSEX NORTHUMBERLAND	22840 22842	VA VA	ROCKINGHAM SHENANDOAH	23232 23233	VA VA	RICHMOND (CITY) HENRICO
22032		FAIRFAX	22514		CAROLINE	22844	VA	SHENANDOAH	23238		GOOCHLAND
22033		FAIRFAX	22520		WESTMORELAND	22845	VA	SHENANDOAH	23249	VA	RICHMOND (CITY)
22034		FAIRFAX	22534		SPOTSYLVANIA	22847	VA	SHENANDOAH	23250	VA	HENRICO
22035		FAIRFAX	22535		CAROLINE	22849	VA	PAGE	23294		HENRICO
22036		FAIRFAX	22538		CAROLINE	22851	VA	PAGE	23298	VA	RICHMOND (CITY)
22039		FAIRFAX	22539		NORTHUMBERLAND	22853	VA	ROCKINGHAM	25401	WV WV	BERKELEY
22041 22042		FAIRFAX FAIRFAX	22542 22546		ORANGE CAROLINE	22901 22904	VA VA	ALBEMARLE CHARLOTTESVILLE (CITY)	25411 25413		MORGAN BERKELEY
22043		FAIRFAX	22553		SPOTSYLVANIA	22911	VA	ALBEMARLE	25414	WV	JEFFERSON
22044		FAIRFAX	22554		STAFFORD	22923	VA	ORANGE	25419	WV	BERKELEY
22046		FALLS CHURCH (CITY)	22556		STAFFORD	22935	VA	GREENE	25420		BERKELEY
22060		FAIRFAX	22560		ESSEX	22936	VA	ALBEMARLE	25422	WV	MORGAN
22066 22067		FAIRFAX FAIRFAX	22567 22572		ORANGE RICHMOND	22940 22942		ALBEMARLE ORANGE	25425 25427	WV WV	JEFFERSON BERKELEY
22079		FAIRFAX	22576		LANCASTER	22947		ALBEMARLE	25428		BERKELEY
22081		FAIRFAX	22578		LANCASTER	22948	VA	MADISON	25430	WV	JEFFERSON
22101	VA	FAIRFAX	22580	VA	CAROLINE	22960	VA	ORANGE	25431	WV	HAMPSHIRE
22102		FAIRFAX	22601		WINCHESTER (CITY)	22963	VA	FLUVANNA	25434	WV	MORGAN
22124		FAIRFAX	22602		FREDERICK	22968	VA	GREENE	25437		HAMPSHIRE
22134 22150		PRINCE WILLIAM	22603 22610		FREDERICK WARREN	22972 22973	VA VA	ORANGE	25438 25442	WV WV	JEFFERSON
22150		FAIRFAX FAIRFAX	22610		CLARKE	22973	VA	GREENE FLUVANNA	25442	WV	JEFFERSON JEFFERSON
22152		FAIRFAX	22620		CLARKE	23005	VA	HANOVER	25444	WV	HAMPSHIRE
22153		FAIRFAX	22624		FREDERICK	23009		KING WILLIAM	25446	WV	JEFFERSON
22172		PRINCE WILLIAM	22625		FREDERICK	23014	VA	GOOCHLAND	26704	WV	HAMPSHIRE
22180		FAIRFAX	22627		RAPPAHANNOCK	23015	VA	HANOVER	26711	WV	HAMPSHIRE
22181 22182		FAIRFAX FAIRFAX	22630 22637		WARREN FREDERICK	23023 23024	VA VA	KING AND QUEEN LOUISA	26714 26722		HAMPSHIRE HAMPSHIRE
22102		PRINCE WILLIAM	22637		FAUQUIER	23024	VA	MIDDLESEX	26755	WV	HAMPSHIRE
22192		PRINCE WILLIAM	22640		RAPPAHANNOCK	23038	VA	GOOCHLAND	26757	WV	HAMPSHIRE
22193		PRINCE WILLIAM	22641		SHENANDOAH	23039	VA	GOOCHLAND	26761	WV	HAMPSHIRE
22201	VA	ARLINGTON	22642	VA	WARREN	23047	VA	HANOVER	26763	WV	HAMPSHIRE
22202		ARLINGTON	22643		FAUQUIER	23059	VA	HENRICO	26801	WV	HARDY
22203		ARLINGTON	22644		SHENANDOAH	23060	VA	HENRICO	26808	WV	HAMPSHIRE
22204 22205		ARLINGTON ARLINGTON	22645 22649		FREDERICK WARREN	23063 23065	VA VA	GOOCHLAND GOOCHLAND	26810 26812		HARDY HARDY
22206		ARLINGTON	22650		PAGE	23069	VA	HANOVER	26817		HAMPSHIRE
22207		ARLINGTON	22652		SHENANDOAH	23070	VA	MIDDLESEX	26851	WV	HARDY
22209	VA	ARLINGTON	22654		FREDERICK	23071	VA	MIDDLESEX	26865	WV	HAMPSHIRE
22211		ARLINGTON	22655		FREDERICK	23075		HENRICO			
22213		ARLINGTON	22656		FREDERICK	23079	VA	MIDDLESEX			
22214 22301		ARLINGTON ALEXANDRIA (CITY)	22657 22660		SHENANDOAH SHENANDOAH	23084 23085	VA VA	FLUVANNA KING AND QUEEN			
22302		ALEXANDRIA (CITY)	22663		CLARKE	23086	VA	KING WILLIAM			
22303		FAIRFAX	22664		SHENANDOAH	23091	VA	KING AND QUEEN			
22304		ALEXANDRIA (CITY)	22701		CULPEPER	23092	VA	MIDDLESEX			
22305		ALEXANDRIA (CITY)	22709		MADISON	23093		LOUISA			
22306		FAIRFAX	22711		MADISON	23102	VA	GOOCHLAND			
22307 22308		FAIRFAX FAIRFAX	22712 22713		FAUQUIER CULPEPER	23103 23106	VA VA	GOOCHLAND KING WILLIAM			
22309		FAIRFAX	22714		CULPEPER	23108	VA	KING AND QUEEN			
22310		FAIRFAX	22715		MADISON	23110	VA	KING AND QUEEN			
22311	VA	ALEXANDRIA (CITY)	22716	VA	RAPPAHANNOCK	23111	VA	HANOVER			
22312		FAIRFAX	22718		CULPEPER	23116	VA	HANOVER			
22314		ALEXANDRIA (CITY)	22719		MADISON	23117		LOUISA			
22315 22331		FAIRFAX ALEXANDRIA (CITY)	22720 22722		FAUQUIER MADISON	23124 23126	VA VA	NEW KENT KING AND QUEEN			
22332		ALEXANDRIA (CITY)	22724		CULPEPER	23129	VA	GOOCHLAND			
22401		FREDERICKSBURG (CITY)	22725		MADISON	23141		NEW KENT			
22405		STAFFORD	22726		CULPEPER	23146	VA	HANOVER			
22406		STAFFORD	22727		MADISON	23148	VA	KING AND QUEEN			
22407		SPOTSYLVANIA SPOTSYLVANIA	22728		FAUQUIER CIII DEDED	23149	VA	MIDDLESEX			
22408 22427		CAROLINE	22729 22730		CULPEPER MADISON	23150 23153	VA VA	HENRICO GOOCHLAND			
22432		NORTHUMBERLAND	22731		MADISON	23156	VA	KING AND QUEEN			
22433		ORANGE	22732		MADISON	23160	VA	GOOCHLAND			
22435		NORTHUMBERLAND	22733		CULPEPER	23161	VA	KING AND QUEEN			
22436		ESSEX	22734		FAUQUIER	23169	VA	MIDDLESEX			
22437 22438		ESSEX ESSEX	22735 22736		CULPEPER CULPEPER	23175 23176	VA VA	MIDDLESEX MIDDLESEX			
22438		WESTMORELAND	22736		CULPEPER	23176	VA	KING AND QUEEN			
22448		KING GEORGE	22738		MADISON	23180	VA	MIDDLESEX			
22454		ESSEX	22740		RAPPAHANNOCK	23181	VA	KING WILLIAM			
22460		RICHMOND	22741		CULPEPER	23192	VA	HANOVER			
22469		WESTMORELAND	22742		FAUQUIER	23219	VA	RICHMOND (CITY)			
22473 22476		NORTHUMBERLAND ESSEX	22743 22746		MADISON CULPEPER	23220 23221	VA VA	RICHMOND (CITY) RICHMOND (CITY)			
22476		LANCASTER	22746		RAPPAHANNOCK	23221	VA	RICHMOND (CITY)			
22482		LANCASTER	22749		RAPPAHANNOCK	23223	VA	RICHMOND (CITY)			
22485	VA	KING GEORGE	22810	VA	SHENANDOAH	23226	VA	HENRICO			
22488		WESTMORELAND	22815		ROCKINGHAM	23227		HENRICO			
22503	VA	LANCASTER	22824	VA	SHENANDOAH	23228	VA	HENRICO			

Exhibit C

Local Disadvantaged Business Enterprise (LDBE) Size Standards for Solicitation 1-17-C095

NAICS Code	Type Of Work / Service	LDBE Size Standard
541310	Architectural Services	\$7.5 Million
541320	Landscape Architectural Services	\$7.5 Million
541330	Engineering Services	\$15.0 Million
541360	Geophysical Surveying & Mapping Services	\$15.0 Million

For the purposes of this solicitation, only firms certified by the Metropolitan Washington Airports Authority's LDBE Program may be utilized for LDBE participation. The directory of currently certified LDBE firms is available on the Airports Authority's website at http://www.mwaa.com/contracting. (Click on "LDBE/DBE Directory Search".)

To be considered an LDBE, a business firm's average annual gross receipts (AGR) or average number of employees (ANE) for the last three (3) years cannot exceed the applicable LDBE size standard. AGR or ANE of all affiliates of the firm are included when determining the firm's eligibility for LDBE certification.

Firms will only be certified as an LDBE for services or goods that they are able to provide at the time of LDBE certification and for which they do not exceed the applicable LDBE size standard, as noted above. An LDBE firm adding services or goods during the term of its certification may request the Authority's Equal Opportunity Programs Department to amend the LDBE certification to include these new services or goods, provided the firm also meets the applicable LDBE size standards for these new services or goods.

Your firm must be certified for the work you plan to perform or for the goods you plan to manufacture or supply on this contract.

There may be other NAICS codes approved for this project. If this list does not include an LDBE NAICS code and size standard for work that you anticipate may be needed for this project, you must request it through the Contracting Officer.

The Authority will review your request. If the NAICS code is accepted for this solicitation, the change will be formally communicated in an amendment to the solicitation.

Contact the Authority's Department of Supplier Diversity at 703-417-8625 for questions on LDBE certification requirements.

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY CONTRACT PARTICIPATION FORM

Che	ck One: Original 🗌 Revis	sed _			Date	: _		C					
Nam	e of Offeror:							Project Name:					
Origi	nal Contracted LDBE Participati	on: \$	5					Original Percent Contracted LDBE Participation: %					
	The Offeror shall subm	it the (Contract Participa	atior	ı Fo	rm to	o the	Contracting Officer with the offer. Ple	ease attach additional sheets if needec	I.			
FIR	IST THE PRIME AND ALL FIRST TIER MS PARTICIPATING IN THIS CONTRACT ntify whether firms are *P, S, JV, SP, B, H, MFG, in next column.	TYPE OF FIRM (see below)	FEDERAL TAX ID (also known as Employer Identification Number) nine digit number.	Fr	that	X" for apply	· œ	ADDRESS (Number, Street, City, State, ZIP)	DESCRIBE TYPE OF WORK (Electrical, Paving, etc. with notation e.g. "Labor Only", "Material Only", "Complete") Item Number if Applicable, Quantity, Unit Price	AGREED PRICE			
EX	SAMPLE	S	55-555555	X	X			12345 Main Street, Washington, DC 20001	Furnish and install Structural Steel	\$986,000.00			
1		+											
3		-											
4		+											
5													
6													
7													
8													
9													
10		+											
12													
13													
14													
15													
16													
17													
								TOTAL AGREED PRICE	MUST EQUAL TOTAL OFFERED PRICE:				
l,	, a (type or print name)	duly a	authorized repr	ese	ntat	ive	of _	, ce	rtify that the above information is	true and correct.			
Sign	ature:							_ Date:					
	E OF FIRM Prime Contractor SP = St	ockino	a Supplier/Distrib	outoi	r			E = A certified Minority Business Ente E = A certified Women Business Ente					

*P = Prime Contractor S = Subcontractor JV = Joint Venture

SP = Stocking Supplier/Distributor **B** = Broker, Agent, Packager

H = Hauler

MFG = Manufacturer

** WBE = A certified Women Business Enterprise (Attach current certification letter) (Information regarding MBE/WBE participation will be used for generalized statistical purposes and program analysis.)

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY LETTER OF INTENT

(Name (of Prime Contractor)		Contract Nu Location	mber		
		((()) () () ()	Contract Na	me		
(Name	of 1 st Tier Subcontrac	, , , , , , , , , , , , , , , , , , , ,				
A.	The undersigned LI ☐ Individual ☐ Pa			ciated with this contract a nture	is (Check one):	
В.	☐ Construction Con	ntractor 🗖 Stocking	g Supplier 🏻 Mar	with this contract as a (Cl oufacturer	istributor	y):
C.	The undersigned LE	BE will: Perform	the following serv	ices \square Supply the followi	ng materials, equ	ipment, supplies:
I	F AVAILABLE, PLE			POSED SCOPE OF WO		ONTRACT
Item Number		ailed Description Scope of Work	;	Scope of Services (Check One)	Quantity	Unit Price
01		Scope of Work	□ Labo	r Only □ Matl Only □ Complete		
02				r Only □ Matl Only □ Complete		
03				r Only ☐ Matl Only ☐ Complete		
04			Labo	r Only □ Matl Only □ Complete		
		Please At	tach Additional S	Sheets if Necessary		
	□ Non-LDBE contr	Commencement ollar value of the subsectors \square Nor nto a subcontract of	Date: contract will be pen-LDBE suppliers. consistent with the	Completion Date: erformed by (check if app	licable):	etween the Prime
			Agreed To			
(Print or	Type Name of LDBE	Firm)		(Print or Type Name of	Prime Contracto	r)
By (Prin	nt or Type Name and	Title)		(Print or Type Name an	nd Title)	
(Signati	ure)	(Date)		(Signature)	(Date)	
(Print or	Type LDBE's Certifi	cation Expiration Da	te)			
			FOR MWAA US	E ONLY		
MWAA	DSD Specialist's App	roval \$				
(Enter T	he Amount of Contra	ct Approved for LDI	BE Participation)	(Signature)	(Da	te)

MWAA/DSD 03/2017

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY REVISION TO ORIGINAL LETTER OF INTENT

			Contract No	ımber						
(Name o	of Prime Contractor)		Location							
(Name o	of 1 st Tier Subcontracto	or (If Applicable	Contract Na))	ame						
Describe This rev	e Change or Modificati	on crease in Conti	act Amount Deci	Contract Modification # _						
A.	The undersigned LDB ☐ Individual ☐ Part			ciated with this contract a	as (Check one):					
B.	☐ Construction Cont	ractor 🗖 Stocl	king Supplier 🏻 Ma	with this contract as a (Cl nufacturer	istributor	y):				
C.	The undersigned LDE	BE will: 🗖 Perfo	orm the following serv	rices \square Supply the followi	ing materials, equ	ipment, supplies:				
I	F AVAILABLE, PLEAS			POSED SCOPE OF WO		ONTRACT				
Item Numbe		led Description Scope of Work		Scope of Services (Check One)	Quantity	Unit Price				
01				or Only Matl Only Complete						
02				or Only Matl Only Complete						
03				or Only ☐ Matl Only ☐ Complete						
04				or Only ☐ Matl Only ☐ Complete						
		Please	Attach Additional	Sheets if Necessary						
D.	Work described abov	e will be perfor	med at the following	total price: \$		·				
E.	Original Total Contract Total Amount of This		\$ \$	Current Total Contract Amount: \$ New Total Contract Amount: \$						
F.	Term of Contract	0	mencement Date: mencement Date:							
G.	% of the doll		subcontract will be p Non-LDBE suppliers	erformed by (check if app	olicable):					
	dersigned will enter in tor and the Authority: (e above upon execution ATED)	of a contract be	tween the Prime				
			Agreed To							
(Print or	Type Name of LDBE	Firm)	Ü	(Print or Type Name of	Prime Contracto	r)				
By (Prin	(Print or Type Name and Title) (nature) (Date)			(Print or Type Name ar	nd Title)					
(Signatu				(Signature)	(Date)					
(Print or	Type LDBE's Certifica	ation Expiration	Date)	-						
			FOR MWAA US	SE ONLY						
	DSD Specialist's Appro									
(Enter T	he Amount of Contrac	t Approved for	LDBE Participation)	(Signature)	(Da	te)				

EXHIBIT F

LDBE CERTIFICATION APPLICATION

The Local Disadvantaged Business Enterprise (LDBE) Program application form is available for download from the Metropolitan Washington Airports Authority's website by clicking on the "LDBE Certification" link at:

http://www.mwaa.com/contracting

Exhibit G Page 1 of 3

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY APPLICATION FOR JOINT VENTURE ELIGIBILITY

Note: This form need not be filled in if all joint venture firms are LDBEs

1.	JOINT VENTURE NAME AND ADDRESS (Company Name, Address, City State Zip)	2.	CONTACT PERSON AND TITLE
		3.	TELEPHONE
4.	IDENTIFY THE COMPANIES WHICH COMPARTNER(S) MUST COMPLETE LDBE AF		
5a.	DESCRIBE ROLE OF LDBE FIRM IN THE	JOIN	IT VENTURE:
b.	NATURE OF JOINT VENTURE'S BUSINES	SS:_	
C.	DESCRIBE VERY BRIEFLY THE EXPER EACH NON-LDBE JOINT VENTURER:	IENC	E AND BUSINESS QUALIFICATIONS OF
6.	IS THE JOINT VENTURE RESPONDING T		
			NE?
1.	WHAT IS THE PERCENTAGE OF LDBE C	VVINE	KONIP IN THE JUINT VENTURE?

Exhibit G Page 2 of 3

8.	PROVIDE A COPY OF THE JOINT VENTURE AGRE information with respect to ownership of the joint ventuagreement).	
	a. Profit and Loss Sharing	
	b. Capital Contributions, Including Equipment	
	c. Other Applicable Ownership Interests	
9.	CONTROL OF AND PARTICIPATION IN THIS CONT those individuals (and their titles) who are responsible policy decision making, but not limited to, those with p decisions; (b) management decisions, such as estimate and firing of management personnel; (d) purchasing of supervision of field operations.	for day-to-day management and rime responsibility for (a) financial ting marketing and sales; (c) hiring
	Name Fir	rm (and Title)

AFFIDAVIT

"The undersigned swear that the foregoing statements are true and correct and include all material information necessary to identify and explain the terms and operation of the joint venture and the intended participation by each joint venturer in the undertaking. Further, the undersigned covenant and agree to provide the Metropolitan Washington Airports Authority (the Authority) current, complete, and accurate information regarding actual joint venture work and the payment therefor and any proposed changes in any of the joint venture arrangements and to permit the audit and examination of the books, records, and files of the joint venture, by authorized representatives of the Authority or the Federal funding agency. Any material misrepresentation will be grounds for terminating any contract which may be awarded and for initiating action under Federal or State laws concerning false statements."

Name of Joint Venture (if Names of companies for	ning Joint Venture		
Signature(s)			
Nama(a)			
Date State of			
On this (name) sworn, did execute the fo by (name of firm) his or her free act and de		, 20, before me , to me personally known, who nd did state that he or she was properly to execute the affidavit and	appeared being duly authorized d did so as
[Seal]			
sworn, did execute the fo	oregoing affidavit, an	, 20, before me , to me personally known, who ad did state that he or she was properly to execute the affidavit and	authorized
[Seal]			

Exhibit H Page 1 of 2

LDBE WAIVER REQUEST PROCEDURE

This procedure must be followed if an offeror cannot meet the LDBE subcontracting requirements in whole or in part. The Request for Waiver must be submitted in writing with the proposal. The Request for Waiver must report and document the efforts made by the offeror to solicit LDBEs for participation and clearly outline the offeror's reasons why no subcontracting opportunities exist. A waiver request must also demonstrate that there an insufficient number of LDBEs to provide adequate competition and reasonable prices. The provisions of Section IX (04) must be reviewed by the offeror before submitting a request for a waiver.

A blanket statement that there are no LDBE businesses to provide services or materials related to the bid/offer is INADEQUATE. An explanation of how that conclusion was reached must be provided or the request will be determined to be pro forma and not in good faith.

Actions which may demonstrate a good faith effort on the part of the bidder include, but are not limited to, the following:

- 1. Attend any pre-proposal meetings that are scheduled by the Authority;
- 2. Advertise in major circulation newspapers such as <u>The Washington Post</u>, trade associations, small business, and minority and women oriented media concerning the subcontracting opportunities;
- 3. Provide written notice to a reasonable number of specific LDBEs that their interest in the contract is being solicited, in sufficient time to allow the LDBEs to participate effectively;
- 4. Follow up initial solicitations of interest by contacting LDBEs to determine with certainty whether the LDBEs were interested.
- 5. Select portions of the work to be performed by LDBEs in a manner that will increase the likelihood of meeting the LDBE requirement;
- 6. Provide interested LDBEs with adequate information about the plans, specifications, and requirements of the contract;
- 7. Negotiate in good faith with interested LDBEs, and not reject LDBEs as unqualified without sound reasons based on a thorough investigation of their capabilities; and,
- 8. Make efforts to assist interested LDBEs in obtaining bonding or insurance, if needed.

Exhibit H Page 2 of 2

WAIVER REQUEST (Continued)

REQUEST FOR WAIVER
hereby requests a waiver of the required contract
requirement for the participation of LDBEs as specified in solicitation number
All good faith efforts to identify potential LDBEs as subcontractors have been made, but we
have been unable to meet the LDBE requirement for the following reason(s):
(Authorized Representative)

NOTE: All advertisements, telephone conversations, and other documentation to support this statement should be attached.

(Date)

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY LDBE UNAVAILABILITY CERTIFICATION

l,	, of
(Name)	(Title) , certify that on
(Offeror)	(Date) n a quote for work items to be performed on Contract
Number	
LDBE (Name of Firm)	Work Items Sought
	said LDBEs were unavailable (exclusive of unavailability ork on this project, or unable to prepare an offer or bid, for
	Circustum
	Signature
	Date
(Name of LDBE)	was offered an opportunity to bid or make an offer on
the above identified work on	by (Date) (Source)
The above statement is a true and accurproject.	rate account of why I did not submit an offer/bid on this
	(Signature of LDBE)
	(Title)

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY INVOICE ATTACHMENT FORM

Name Of Prime Contractor Contract Name & Number Original Contract Amount \$			Payments Received \$										
								M	ONTHLY CONTRAC	T INFORMATION	<u> </u>	%	
#	NAME OF SUBCONTRACTOR	BUSINESS ADDRESS (CITY, STATE, ZIP)	DESCRIPTION OF WORK	* D B E	M B E	W B E	O T H E R	ORIGINAL SUBCONTRACT AMOUNT	CURRENT SUBCONTRACT AMOUNT	TOTAL PAYMENTS TO DATE	AMOUNT THIS INVOICE	COMPLETE	% L D B E
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													
15													
16													
17													
18													
		,	SUBCONTRACTOR TOTALS										
		P	RIME CONTRACTOR TOTAL										
			TOTAL THIS INVOICE										
* DII	T AN "Y" IN THIS COLUMN O	NI V IE SURCONTRACTOR	D IS AN ALITHODITY CEDTIFIE	יח ו ח	3E								

I certify that the information furnished above is correct to the best of my knowle designated period covered by this report.	dge and represents the current status of the firm's (Prime Con	stractor) subcontract(s) with the listed firms (Subcontractors) for the
Signed:	Title	Date

^{*} PUT AN "X" IN THIS COLUMN <u>ONLY</u> IF SUBCONTRACTOR IS AN AUTHORITY CERTIFIED LDBE

Metropolitan Washington Airports Authority INSTRUCTIONS FOR COMPLETING THE INVOICE ATTACHMENT FORM (EXHIBIT J)

I. USE AUTHORIZED FORMS

Use only **Authority approved forms** to file monthly Invoice Attachment Form. Do not change or amend the Authority approved form in any manner. Authority approved forms are available on hard copy or diskette from the EOP Specialist assigned to the contract. Note that <u>all</u> subcontractors are to be listed on the Invoice Attachment Form. Also, note that some entries are required that apply only to the sum of LDBE contracts. To facilitate accuracy in reporting, it is recommended that LDBE subcontractors be listed first and a subtotal appear in each of the four sub-columns that comprise the "Monthly Contract Information" section of the report.

II. REPORT ALL LDBEs EVERY MONTH

Every LDBE firm whose contract is counted toward achievement of the participation requirement <u>must</u> appear on the Invoice Attachment Form every month. If there is no invoice activity for an LDBE in any given month, enter "0" in the column, "Amount this Invoice". Note that all other information must be entered, must be current and correct.

III. LEDGER PORTION

A. Name, Location & Description of Work – For all subcontractors, enter the subcontractor's name, location (city, state and zip code) and description of work. For LDBEs, these entries must be the same as comparable information appearing on the Letter of Intent and the Contract Participation Form.

B. Classification of Subcontractor(s)

Only those subcontractors who meet the LDBE eligibility requirements may be classified as LDBEs on the Invoice Attachment Form.

Assign classifications as follows:

- 1. **LDBE**-Place an "X" in this column only if the subcontractor is an Authority certified LDBE.
- 2. **MBE**-Place an "X" in this column if the subcontractor is also a minority-owned company, regardless of their size. This classification should also be used for subcontractors who have submitted a certification application but have not yet been certified. Once certification has been achieved, such firms should be classified as both MBE and LDBE. This column is also used to calculate Voluntary Participation of Minority-owned firms. Thus, a subcontractor can be classified as both LDBE and MBE, or, just MBE.
- 3. **WBE**-Place an "X" in this column if the subcontractor is a woman-owned company regardless of their size. This classification should also be used for subcontractors who have submitted a certification application but have not yet been certified. Once certification has been achieved, such firms should be classified as both LDBE and WBE. This column is also used to calculate Voluntary Participation of woman-owned firms. Thus, a subcontractor can be classified as both LDBE and WBE, or just WBE.

Metropolitan Washington Airports Authority Instructions for Completing the Invoice Attachment Form

4. **Other**-Place an "X" in this column for all subcontractors who cannot be classified as either LDBE, MBE or WBE.

C. Original Subcontract Amount

Enter the original subcontract amount. For LDBEs, this must be the amount submitted on the LDBE's Letter of Intent and approved by the Authority.

D. Current Subcontract Amount

Enter the current subcontract amount. If this amount is the same as the entry in "Original Subcontract Amount", enter it. For LDBEs, if this amount is different that the amount entered in "Original Subcontract Amount", a **Revised Letter of Intent** must be on file with and approved by the EOP Specialist. It is recommended that **Revised Letters of Intent** be submitted with the Invoice Attachment Form that initially reports the New Contract amount.

E. Total Payments to Date

Enter the sum of payments that have been made to that subcontractor as of the date of the report. Note that this column should not contain diminishing amounts, i.e., a succeeding month's entry lower than the preceding month's entry. If this occurs, the Authority may request an examination of additional records to verify the correct amount.

F. Amount of This Invoice

Enter the amount of the subcontractor's invoice being submitted with this report.

G. Percentage Amount Complete

Enter the percentage that equals the progress of that subcontractor's work.

H. Percent LDBE

This entry depends upon the type of contract and terms stated in the solicitation. The **percentage for non-LDBEs is always "0"**. Thus, if the subcontractor does not meet the requirements stated above to be classified as a LDBE, the percentage entered in this column **must be "0"**.

I. Totals

Fill out totals as follows:

- SUBCONTRACTOR TOTALS Totals for all subcontractor data reported on the Exhibit J form.
- 2. **PRIME CONTRACTOR TOTAL** Portion of invoice attributed to work performed by prime contractor. (May include payments to non-LDBE/MBE/WBE suppliers that are not listed in the subcontractor section of the Exhibit J from.)
- 3. **TOTAL THIS INVOICE** Sum of the Subcontractor Total and Prime Contractor Total for the current invoice. Must match the amount of the invoice.

IV. TOP PORTION OF INVOICE ATTACHMENT FORM

A. Original Contract Amount

Enter the original amount of the Prime's Contract.

B. Payments Received

Enter the sum total of payments received as of the date of the report.

C. Current Contract Amount

Enter the current amount of the Prime's Contract.

D. Retainage Withheld

Enter the amount of retainage withheld. If none, enter 0.

E. Invoice Period

Enter the month being reported, i.e. January 1 to January 31, 2000.

F. Date Submitted

Enter the date the report is submitted to the Authority.

G. Actual LDBE Participation to Date \$

Enter the sum of Total Payments to LDBEs.

H. Current Scheduled LDBE Participation \$

Enter the sum of <u>Current Subcontract Amounts</u> reported for <u>LDBEs only</u>, i.e, do NOT include current subcontract amounts for non-LDBEs even though they appear in the ledger portion of the report.

I. Total Original Contracted LDBE Participation \$

Enter the dollar amount of the original LDBE participation requirement of this contract.

J. Percentage Original Contracted Participation

Enter the percentage of required LDBE participation for this contract.

SECTION IX - ATTACHMENTS

ATTACHMENT 01 STATEMENT OF WORK APRIL 2017

Contract 1-17-C095 Attachment 01

Statement of Work
Task Architectural/Engineering/Planning Services for the
Metropolitan Washington Airports Authority

Αt

Washington Dulles International Airport And Ronald Reagan Washington National Airport

June 15, 2017

METROPOLITAN WASHINGTON AIRPORTS AUTHORITY WASHINGTON DULLES INTERNATIONAL AIRPORT AND RONALD REAGAN WASHINGTON NATIONAL AIRPORT FOR TASK ARCHITECTURAL/ENGINEERING/ PLANNING TASK ORDER SERVICES

General Scope

The Contractor shall provide all labor, material, equipment and supervision necessary to provide professional Architectural, Engineering and Planning services for the Metropolitan Washington Airports Authority (Airports Authority). Services are expected to cover a range of planning processes and technical subjects using a task order format. Many of the anticipated tasks will be themed toward the phasing and implementation of projects in the Capital Construction Program (CCP) and/or Capital Development Program (CDP).

Tasks are expected to cover the range of responsibilities and perspectives handled by the Planning Department, which generally classifies its assignments as one of the following types:

<u>Comprehensive Planning</u> — a system-wide examination of needs and alternatives conducted from a Master Plan perspective.

<u>Program Planning</u> — the packaging of individual projects and pre-requisite actions that lead to implementation of necessary facilities.

<u>Project Planning</u> — concept alternatives, site identification, general scope, phasing and preliminary cost estimates for an individual plan component.

<u>Project Programming</u> — concept detailing of an individual action to generate a project scope and a cost estimate that will guide design and budget processes.

<u>Environmental Planning</u> – advising on wetland and stream mitigation, rare threatened, and endangered species, biotic communities, landscape and buffer preservation. Task assignments may include the preparation and submission of appropriate National Environmental Policy Act (NEPA) documents and wetlands and stream permit applications.

The following is a listing of the typical task order work that may be requested by the Authority.

- Demand/Capacity Assessment of Major Airports Elements
- Advanced Planning / Conceptual Design
- Commercial Development and Revenue Enhancement Planning
- Graphics Support
- Forecast Review and Update
- Assistance and Coordination with Airline Tenants for Aircraft Gate/Docking
- Wetlands Mitigation
- Sustainable Development
- Comprehensive Utility Planning
- Community Involvement
- Airports Safety and Security
- Cost/Benefit Studies for FAA Grants
- Activity Forecasting
- Airspace and Airfield Planning
- Airfield Engineering Analysis
- Air Carrier Ground and Support Facilities Planning
- Cargo Facilities Planning

- Commercial Development/Business Planning
- Site Development Planning
- General Aviation Facilities Planning
- Ground Transportation and Access Planning
- Infrastructure and Utilities
- Noise and Noise Compatibility
- Terminal Planning and Design
- Airports Safety and Security
- Airports Land Use Compatibility
- Airfield Simulations
- Obstruction Survey/Analysis
- NAVAID Siting/Design
- Airside Passenger Transportation Systems
- Cost Estimating
- Natural and Cultural Resource Planning
- Environmental Planning
- Plan (graphic) Illustration using CADD, GIS
- Project Programming
- Transportation Planning
- Traffic Engineering and Technology
- Financial Planning
- Benchmarking
- Business Planning
- Emerging Facility Technologies Assessment
- Strategic Planning
- Urban & Regional Planning and Urban Design
- Geodesy
- Renewable Energy

Additionally, the firm may be required to submit in electronic format the shape files and the database for individual design tasks for the incorporation into an Airport wide GIS.

Contractor's Responsibilities

- 1. The contractor shall furnish all facilities, labor (including all required professional disciplines), materials and equipment to accomplish any task related to this Scope of Work that may be requested by the Airports Authority.
- 2. The Contractor's work shall be managed through the Planning Department, Office of Engineering of the Airports Authority. A Contracting Officer's Technical Representative (COTR) will be designated by the Airports Authority for this contract to coordinate all tasks.
- 3. The Consultant shall assign a Project Manager who will be responsible for the coordination with the Airports Authority on all of the individual Task Order projects.
- 4. The Project Manager shall be responsible for all project matters for the Consultant and will be available for all required project coordination meetings.
- 5. The Consultant shall ensure that the tasks are accomplished and managed by qualified professionals.

- 6. The Consultant shall provide a brief written narrative of task progress to the Airports Authority on a monthly basis.
- 7. The Consultant shall submit invoices no more frequently than monthly and no later than the last working day of the month, unless otherwise directed by the Airports Authority. Separate invoices shall be submitted for each individual task.
- 8. The Consultant shall provide senior management personnel to participate in milestone coordination meetings and presentations, if requested by the Airports Authority.
- The Consultant shall provide a Quality Control program that is utilized for each task unless otherwise directed by the Airports Authority. The Quality Control Program shall address at a minimum all project deliverables.
- 10. The Airports Authority will acquire full and complete ownership of all deliverables and all final documents under this contract.

Task Completion Dates and Schedules

The Contractor shall submit to the Airports Authority within seven calendar days of for the start of each Task Order, unless otherwise directed, a work plan including a list of personnel assigned to accomplish the project scope. The work plan shall include a schedule of events and durations for field activities, engineering work deliverables, and all other specific task milestones. Each Task Order assignment shall specify a completion date. The schedule shall include Airports Authority review periods for each deliverable of each specific task, and will be subject to the Airports Authority's review.

Cost and Schedule

This will be an indefinite quantity fixed unit-price contract with a ceiling amount not to exceed \$2,500,000.00 per year for the base three year contract period. The contract will be for a 3-year base with one two-year renewal option for a total potential contract duration of five years and maximum value not-to-exceed \$12,500,000.00. Individual task orders will be issued under the contract. Each task order will be negotiated as a single project.

ATTACHMENT 02

ARCHITECT – ENGINEER COST BREAKDOWN FOR PRIME AND SUBCONSULTANTS

Metropolitan Washington Airports Authority ARCHITECT - ENGINEER COST BREAKDOWN

NAME AND ADDRESS OF FIRM								
To be determined								
PROJECT NUMBER(S) AND DESCRIPTION					DATE			
1-17-C095 Architect/Engineering/Planning T	vices at DCA ar	nd IA	D					
I. DIRECT COSTS					FOR A	E ONLY		
Work Classification	No. Hours	Rate / Hour		Total	No. Hours	Rate / Hour	Total	
1.			\$	-			\$	-
2.			\$	-			\$	-
3.			\$	-			\$	-
4.			\$	-			\$	-
5.			\$	-			\$	-
6.			\$	-			\$	-
7.			\$	-			\$	-
8.			\$	-			\$	-
9.			\$	-			\$	-
10 .			\$	-			\$	-
11.			\$	-			\$	-
12.			\$	-			\$	-
			\$	-			\$	-
			\$	-			\$	-
			\$	-			\$	-
	TOTA	AL OF SECTION I	\$	-			\$	-
II. CONTRACTOR IN-HOUSE REPRODUCTION	COSTS		\$				\$	
III. MATERIALS AND SUPPLIES (Not included in	G&A Costs)		\$				\$	
IV. INDIRECT COSTS (Furnish details)								
Overhead on Direct Labor - Percentage		0.00%	\$	-			\$	
2. General and Administrative Costs (% Direct Labor	r)	0.00%	\$	-			\$	
A. Profit - % of All above Direct and Indirect Costs	i	0.00%	\$	-			\$	
	TOTAL	OF SECTION IV	\$	-			\$	-
V. TOTAL OF SECTIONS I, II, III AND IV (Subject	ct to statutory cost I	limitation)	\$	-			\$	-
VI. REIMBURSABLE ITEMS (Give details)								
Topographical Surveys			\$				\$	
2. Soil Borings			\$				\$	
3. Chemical, Mechanical, etc., Surveys			\$				\$	
Preparation of Technical Manuals			\$				\$	
5. Making Technical Studies/Investigations							\$	
6. Travel, Per Diem (Number of Trips)	\$				\$			
7. Long Distance Telephone Costs	\$				\$			
8. Reproduction Costs (Where subcontracted)	\$				\$			
	\$	-			\$	-		
VII. PROPOSAL (TOTAL OF SECTIONS V AND	\$	-			\$	-		
REMARKS (Identify by Section and Item Number, if app	licable, if additional	space is required, i	use se	parate blank	sheet of paper.)			